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#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 :

A61K 48/00, 38/18

(11) International Publication Number: WO 96/15812

(43) International Publication Date: 30 May 1996 (30.05.96)

US

(21) International Application Number: PCT/US95/14974

(22) International Filing Date: 16 November 1995 (16.11.95)

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17 November 1994 (17.11.94)

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#### Published

With international search report.

(54) Title: USE OF NEUREGULINS AS MODULATORS OF CELLULAR COMMUNICATION

#### (57) Abstract

(30) Priority Data:

08/341,018

The present invention relates to methods of affecting cellular communication in a vertebrate. The communication is affected by the administration of a neuregulin to a vertebrate, where the neuregulin interacts with a first cell type which results in the production of a product (i.e., Product A). This product, in turn, affects the function of a second cell type. Methods are disclosed in which the affect in function of the second cell type, results in the production of a second product (i.e., Product B) which, in turn, can affect the function of the first cell type or a third cell type. Additional methods are included for treatment of disorders involving an altered or inadequate level of production of a product involved in cellular communication.

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# USE OF NEUREGULINS AS MODULATORS OF CELLULAR COMMUNICATION

### 5 FIELD OF THE INVENTION

This invention relates to methods of affecting cellular communication.

#### **BACKGROUND OF THE INVENTION**

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Vertebrate cells depend on externally produced factors for growth, differentiation and survival. These factors can be in the form of diffusible molecules that act at a distance from their site of synthesis. Alternatively these factors can be in the form of cell-surface-bound molecules that rely on cell-to-cell contact for their function. In many cases, different cell types may interact in a reciprocal manner in that both cell types produce factors that affect the other cell type. Vertebrates rely on these reciprocal interactions during embryogenesis and during the response to injury and disease.

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Interdependence of cells and tissues plays important roles in the vertebrate nervous system. The nervous system is composed of neurons and neuroglial support cells. Peripheral nervous system axons are ensheathed by neuroglial cells (Schwann cells) and target organs which include skin, sensory receptors, muscle and other neurons. Additionally, peripheral axons interact with components of the central nervous system in the spinal cord. These include neurons and neuroglial cells such as astrocytes and oligodendrocytes.

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It is well established that neurons and the tissues and cells with which they interact are dependent on each other for trophic support. This relationship is mediated by factors (proteins) produced by neurons that maintain the viability of target tissues (e.g. motor neuron derived factors that maintain muscle integrity) and neurotrophic factors produced by target (and other) tissues that maintain neuronal viability (e.g. muscle derived factors that maintain motor neuron viability). This interdependence plays an important role in embryonic development, maintenance of viability and response to injury in the nervous system and its targets.

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The survival of various neuronal populations has been thought to be dependent only upon neurotrophic factors produced by targets of innervation. Recently it has been realized that neurotrophic factors are also derived from axonally associated cells

(periaxonal glia), soma associated (perisomatic) cells (e.g. glia and efferent synapses) and from autocrine sources. These proteins are taken up by neurons where they exert their effect at the cell body. Neurotrophic factors either maintain the viability of the neuron or induce specific effects such as axonal extension, sprouting and other responses to injury and disease. Examples include factors such as nerve growth factor (NGF), brain derived neurotrophic factor (BDNF) and related molecules as well as ciliary neurotrophic factor (CNTF), insulin like growth factor (IGF) and fibroblast growth factors (FGF's) that all have neurotrophic activity and are derived from neuronally associated tissues as diverse as muscle, Schwann cells and spinal cord astrocytes and other neurons (e.g., Nishi, Science (1994) 265:1052).

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The identification of pharmaceutical products or agents which induce the endogenous production of trophic factors would be beneficial treatment of diseases which involve trophic support.

#### SUMMARY OF THE INVENTION

In general, the present invention relates to methods of affecting cellular communication in a vertebrate. The communication is affected by the administration of a neuregulin to a vertebrate, where the neuregulin interacts with a first cell type which results in the production of a product or products (i.e., Product(s) A). This product, in turn, affects the function of a second cell type (see Figures 9 and 10).

Neuregulins are a family of protein factors encoded by one gene. A variety of messenger RNA splicing variants (and their resultant proteins) are derived from this gene and many of these products show binding and activation to erbB2 (neu) and closely related receptors erbB3 and erbB4. The invention provides methods for using all of the known products of the neuregulin gene, as well as, other not yet discovered splicing variants of the neuregulin gene.

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Methods also are provided by the invention in which the effect in function of the second cell type, as described above, results in the production of a second product (i.e., Product B) which, in turn, can affect the function of the first cell type or a third cell type (see Figures 9 and 10).

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Included in the invention as well, are methods for treatment when disorders involve an altered or inadequate level of production of a product involved in cellular communication.

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Advantages of the present invention include the development of new therapeutic approaches to injury or disease based on the interdependence or communication of cells and the ability to influence or affect that communication with neuregulins. For example, a neuregulin factor that is produced by the second cell type can induce the first cell type to produce a product or products (Product(s) A) that are trophic for the second cell type. More specifically, cells and tissues that are associated with neurons may be induced to respond to a neuronally produced factor (neuregulin). This response would be in the form of the production of products (Product(s) A) that are trophic for neurons. The endogenous induction of more than one neurotrophic products by the neuregulin would be more effective than the therapeutic use of a single neurotrophic factor. Neurotrophic factors generally have restricted effects on specific neuronal subtypes (e.g. CNTF is trophic for motor neurons and NGF is trophic for sympathetic neurons as well as a subset of sensory neurons). Furthermore, the types of neurotrophic factors produced by a particular tissue are probably dependent on the target neuron type as well

as the type and stage of injury. As an example, CNTF, which is trophic for motor neurons, is released by Schwann cells in the early stages of recovery from nerve injury. This is replaced within a few days by Schwann cell and muscle derived BDNF, another motor neuron trophic factor (Curtis, et al., *Nature* (1993) 365:253-255; and Funakoshi, et al, *J. Cell Biol.* (1993) 123:455-465). In addition multiple neurotrophic factors function *in vivo* and may be synergistic in their effects. For example, it has been shown that multiple factors more efficiently arrest disease induced neuronal degeneration in animals than the use of a single factor (Mitsumoto et al., *Science* (1994) 265:1107).

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In the central nervous system, the neuregulin target, the first cell type, could be a neuron that in turn produces Product(s) A. Product A then affects other tissues (the second cell type) that produce neurotrophic products (Product(s) B) that affect the second cell type (the second cell type may be the source of the neuregulin), or perhaps a third cell type.

Thus, the use of the neuregulins, that are trophic for neuronally associated tissues in the manner described above would be therapeutically useful. Treatment would ensure the production of target specific combinations of products that are tailored to a particular disease state.

### BRIEF DESCRIPTION OF THE FIGURES

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Figure 1 is a schematic diagram showing the method used to set up the SCG (superior cervical ganglion)/culture tube experiments; (1) tubes are filled with collagen +/- GGF; (2) SCG explants are placed in tubes; (3) tubes are cultured in humidified chambers; and (4) the extruded gels are fixed and stained as a "whole mount" (Anti-S100 for Schwann cells, and anti-tubulin β3 for axons.).

- Figure 2 is a schematic diagram of the grid reticule inserted in the microscope ocular, which at a total magnification of 160X, allowed quantification of Schwann cell outgrowth and neurite outgrowth for the SCG/culture tube experiments.
  - Figure 3A shows the control data, that is, Schwann cell number as a function of distance from the SCG explant, for the SCG/culture tube experiments.
  - Figure 3B shows experimental data, of Schwann cell outgrowth for the SCG/culture tube experiments, at a dosage of 5 ng/ml rhGGF2.
- Figure 3C shows experimental data, of Schwann cell outgrowth for the SCG/culture tube experiments, at a dosage of 50 ng/ml rhGGF2.
  - Figure 3D shows experimental data, of Schwann cell outgrowth for the SCG/culture tube experiments, at a dosage of 500 ng/ml rhGGF2.
- Figure 4 shows the total number of Schwann cells as a function of days *in vitro* for the SCG/culture tube experiments.
  - Figure 5 shows experimental data, of neurite outgrowth, as a function of distance from the SCG explant, for the SCG/culture tube experiments performed at dosage levels of 5, 50 and 500 ng/ml rhGGF2.
  - Figure 6A shows a 2-dimensional dose-response matrix, used to examine the effects of rhGGF2 on neuronal survival and outgrowth.
- 35 **Figure 6B** illustrates the manner of counting, used in the afore-mentioned 2-dimensional dose-response experiment, by showing a representative sample well with fields of view.

Figure 7 shows experimental data of the effects of rhGGF2 on neuronal survival and outgrowth.

- Figure 8A shows data on the effects of exogenous GGF on the number of myelinated axons at 28 days post-injury.
  - Figure 8B shows the above-referenced data in bar graph form.

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- Figure 9 represents a schematic illustration of the effect neuregulins can have on cellular communication.
  - Figure 10 represents a schematic illustration of specific effects of neuregulins on cellular communication within the nervous system.
- Figure 11A is a listing of the coding strand DNA sequence and deduced amino aid sequence of the cDNA obtained from splicing pattern of GGF2BPP1 shown in Figure 12. Potential glycosylation sites are underlined (along with polyadenylation signal AATAAA);
- Figure 11B is a listing of the coding strand DNA sequence and deduced amino acid sequence of the cDNA obtained from splicing pattern of GGF2BPP2. Potential glycosylation sites are underlined (along with polyadenylation signal AATAAA);
- Figure 11C is a listing of the coding strand DNA sequence and deduced amino acid sequence of the cDNA obtained from splicing pattern of GGF2BPP3. Potential glycosylation sites are underlined (along with polyadenylation signal AATAAA).
  - Figure 12 is a diagram of representative splicing variants corresponding to bovine GGF gene products. The coding segments are represented by F, E, B, A, G, C, C/D, C/D', D, D', H, K and L. The location of the peptide sequences derived from purified protein are indicated by "o."
    - Figure 13 is a listing of the DNA sequences and predicted peptide sequences of the coding segments of GGF. Line 1 is a listing of the predicted amino acid sequences of bovine GGF, line 2 is a listing of the nucleotide sequences of bovine GGF, line 3 is a listing of the nucleotide sequences of human GGF (heregulin) (nucleotide base matches are indicated with a vertical line) and line 4 is a listing of the predicted amino acid sequences of human GGF/heregulin where it differs from the predicted bovine

sequence. Coding segments E, A' and K represent only the bovine sequences. Coding segment D' represents only the human (heregulin) sequence.

Figure 14 is the predicted GGF2 amino acid sequence and nucleotide sequence of BPP5. The upper line is the nucleotide sequence and the lower line is the predicted amino acid sequence.

Figure 15 is the predicted amino acid sequence and nucleotide sequence of GGF2BPP2. The upper line is the nucleotide sequence and the lower line is the predicted amino acid sequence.

Figure 16 is the predicted amino acid sequence and nucleotide sequence of GGF2BPP4. The upper line is the nucleotide sequence and the lower line is the predicted amino acid sequence.

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Figure 17 is a list of splicing variants derived from the sequences shown in Figure 13.

Figure 18 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFL1.

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Figure 19 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFL2.

Figure 20 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFL3.

Figure 21 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFL4.

Figure 22 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFL5.

Figure 23 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFL6.

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Figure 24 is the predicted amino acid sequence (middle) and nucleic sequence (top) of GGF2HBS5. The bottom (intermittent) sequence represents peptide sequences derived from GGF-II preparations.

Figure 25 is the sequences of GGFHBS5, GGFHB1 and GGFBPP5 polypeptides.

Figure 26 is the amino acid sequence of cDNA encoding mature hGGF2.

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Figure 27 depicts a stretch of the putative bovine GGF-II gene sequence from the recombinant bovine genomic phage GGF2BG1. The figure is the coding strand of the DNA sequence and the deduced amino acid sequence in the third reading frame.

## **DETAILED DESCRIPTION OF THE INVENTION**

It is intended that all references cited shall be incorporated herein by reference.

#### 5 General

The invention pertains to methods of affecting cellular communication in vertebrates. The communication is affected by the administration of a neuregulin to a vertebrate where the neuregulin interacts with a first cell type which results in the production of a product. This product, in turn, affects the function of a second cell type. More specifically, the invention relates to the induction of endogenous tropic factors (products) by the administration of a neuregulin.

Methods also are provided by the invention in which the affect in function of the second cell type, described above, results in the production of a second product which, in turn, can affect the function of the first cell type, the second cell type or a third cell type.

# **Definition of Key Terms**

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The term <u>administration</u> as used herein refers to the act of delivering a substance, including but not limited to the following routes: parenteral, intravenous, subcutaneous, intramuscular, intraorbital, ophthalmic, intraventricular, intracranial, intracapsular, intraspinal, intracisternal, intraperitoneal, topical, intranasal, aerosol, scarification, orally, buccal, rectal or vaginal. Administration as used herein refers to a pharmaceutical preparation of a substance and the delivery of that preparation to a recipient.

The term <u>affecting</u> as used herein refers to the induction of a quantitative change in the response of a target cell, as a result of an interaction with a Product.

The term <u>Alzheimer's Disease</u> as used herein refers to a progressive central neurodegeneration involving loss of cortical and other neurons, and associated with neurofibrillary tangles and \( \mathbb{B}\)-amyloid deposits.

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The term <u>amyotrophic lateral sclerosis</u> (ALS) as used herein refers to a motor neuron disease characterized by a progressive degeneration of the neurons that give rise to the corticospinal tract that results in a deficit in upper and lower motor neurons.

The term <u>astrocyte</u> as used herein refers to a neuroglial cell of ectodermal origin and its progenitor cells. This cell has a round nucleus and a "star shaped" body and many long processes that end as vascular foot plates on the small blood vessels of the CNS and is associated with other structures. A more complete definition of the astrocyte and its progenitors can be found in the following materials: Reynolds and Weiss, *Science* (1992) **255**:1707-1710; Reynolds, Tetzlaff, and Weiss, *J. Neurosci* (1992) **12**:4565-4574; and Kandel, et al., *Principles of Neuroscience*, third ed. (1991), Appleton & Lange, Norwalk, CT.

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The term <u>cellular communication</u> as used herein refers to the synthesis of a substance in one cell type and the interaction of that substance with a second cell type. This process includes, but is not limited to, secretion of the substance from a cell. The substance elicits a change in the second cell type or with the first cell type. Communication can occur reciprocally or non-reciprocally with one or more cell types.

The term <u>differentiation</u> as used herein refers to a morphological and/or chemical change that results in the generation of a different cell type or state of specialization. The differentiation of cells as used herein refers to the induction of a cellular developmental program which specifies one or more components of a cell type. The therapeutic usefulness of differentiation can be seen, in increases in quantity of any component of a cell type in diseased tissue by at least 10% or more, more preferably by 50% or more, and most preferably by more than 100% relative to the equivalent tissue in a similarly treated control animal.

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The term <u>disorder</u> as used herein refers to a disturbance of function and/or structure of a living organism, resulting from an external source, a genetic predisposition, a physical or chemical trauma, or a combination of the above, including but not limited to any mammalian disease.

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The term <u>first cell type</u> as used herein refers to the cell type that interacts with a neuregulin. The first cell type includes but is not limited to one or more of the following: neuron, glial cell, Schwann cell, astrocyte, oligodendrocyte, myoblast, muscle cell, satellite cell, skin cell, sensory organ cell, inflammatory cell such as macrophage, neutrophil, T-cell, eosinophil, mast cell, basophil and stromal cell such as fibroblasts or endothelial cells. Bloom and Fawcett, *A Textbook of Histology*, tenth ed. (1975), W. B. Saunders Company, Philadelphia, PA.

The term <u>function</u> as used herein refers to any activity or response of a cell. These include but are not limited to proliferation, differentiation, growth, survival, changes in the pattern of gene expression and secretion, and metabolic changes.

The term <u>glial cell</u> as used herein refers to connective and support tissues of the nervous system and includes ectodermally derived astrocytes, oligodendroglia, Schwann cells and mesodermally derived microglia and their progenitors. A more complete definition of glial cells and their progenitors can be found in the following materials: Anderson, *FASEB J.* (1994) 8:707-713; Reynolds and Weiss, *Science* (1992) 255:1707-1710; Reynolds, Tetzlaff, and Weiss, *J. Neurosci* (1992) 12:4565-4574; and Kandel, et al., *Principles of Neuroscience*, third ed. (1991), Appleton & Lange, Norwalk, CT.

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The term <u>interacts</u> as used herein refers to a contact with a target (cell), including but not limited to binding of a product to a cell receptor.

The term <u>mammal</u> as used herein describes a member of the Class Mammalia (Subphylum Vertebrata).

The term <u>matrix molecule</u> as used herein refers to a chemical component of the insoluble meshwork of extracellular proteins that mediate adhesive interactions between cells and modulate the functions of cells.

The term mitosis as used herein refers to the division of a cell where each daughter nucleus receives identical complements of the numbers of chromosomes characteristic of the somatic cells of the species. Mitosis as used herein refers to any cell division which results in the production of new cells in the patient. More specifically, a useful therapeutic is defined *in vitro* as an increase in mitotic index relative to untreated cells of 50%, more preferably 100%, and most preferably 300%, when the cells are exposed to labeling agent for a time equivalent to two doubling times. The mitotic index is the fraction of cells in the culture which have labeled nuclei when grown in the presence of a tracer which only incorporates during S phase (i.e., BrdU) and the doubling time is defined as the average time required for the number of cells in the culture to increase by a factor of two.

For example, one effect on mitosis *in vivo* is defined as an increase in satellite cell activation as measured by the appearance of labeled satellite cells in the muscle tissue of a mammal exposed to a tracer which only incorporates during S phase (i.e.,

BrdU). A useful therapeutic is defined *in vivo* as a compound which increases satellite cell activation relative to a control mammal by at least 10%, more preferably by at least 50%, and most preferably by more than 200% when the mammal is exposed to labeling agent for a period of greater than 15 minutes and tissues are assayed between 10 hours and 24 hours after administration of the mitogen at the therapeutic dose.

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The term <u>muscle cell</u> as used herein refers to a cellular component of skeletal, smooth or cardiac muscle, including but not limited to myofibrils, satellite cells, and myoepithelial cells and their progenitors. A more complete definition of muscle cells can be found in, Wheater, et al., *Functional Histology* (1987), Churchill Livingstone, New York, NY; and *Myology*, ed. by Engel and Franzini-Armstrong, second ed. (1994) McGraw Hill, New York, NY.

heregulins, neu differentiation factor, acetylcholine receptor inducing activity, and erbB2, 3 and 4 binding proteins. A more complete definition of neuregulins can be found in the specification herein and in the following materials: U.S. Patent No. 5,237,056; U.S. Patent Application SN 08/249,322; WO 92/20798; EPO 0 505 148 A1; Marchionni, et al., Nature 362:313, 1993; Benveniste, et al., PNAS 82:3930-3934, 1985; Kimura, et al., Nature (1990) 348:257-260; Davis and Stroobant, J. Cell. Biol. (1990) 110:1353-1360; Wen, et al., Cell (1992) 69:559; Yarden and Ullrich, Ann. Rev. Biochem. (1988) 57:443, ; Holmes, et al., Science 256:1205, 1992; Dobashi, et al., Proc. Nat'l. Acad. Sci. 88:8582, 1991; Lupu, et al., Proc. Nat'l. Acad. Sci. (1992) 89:2287; Peles and Yarden, BioEssays (1993) 15:815, Mudge, Current Biology (1993) 3:361, all hereby incorporated by reference.

The term <u>neuregulin producing cell</u> as used herein refers to a cell that produces a neuregulin. The term refers to all producer cells including cells that produce recombinant neuregulins.

The term <u>neurological disorder</u> as described herein refers to a disorder of the nervous system.

The term <u>nervous system cell</u> as used herein includes nervous system support cells and neurons.

The term <u>neuron</u> as used herein refers to a complete nerve cell, including the cell body and all of its processes, and its progenitors. A more complete definition of neuron

and its progenitors can be found in the following materials: Reynolds and Weiss, Science (1992) 255:1707-1710; Reynolds, Tetzlaff, and Weiss, J. Neurosci (1992) 12:4565-4574; Ray, Peterson, Schinstine, and Gage, PNAS (1993) 90:3602-3606; and Kandel, et al., Principles of Neuroscience, third ed. (1991), Appleton & Lange, Norwalk, CT.

The term <u>neurotrophic agent</u> as used herein refers to a substance that elicits a trophic effect in one or more neuronal subtypes. These effects include but are not limited to survival, sprouting and differentiation.

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The term <u>oligodendrocyte</u> as used herein refers to the neuroglial cells, of ectodermal origin, with small oval nuclei and fine cytoplasmic processes that are responsible for the formation of myelin in the CNS. The progenitors of oligodendrocytes are also included. A more complete definition of oligodendrocytes and their progenitors can be found in Kandel, et al., *Principles of Neuroscience*, third ed. (1991), Appleton & Lange, Norwalk, CT.

The term <u>Parkinson's Disease</u> as used herein refers to a progressive central neurodegeneration involving dopaminergic neurons.

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The term <u>peripheral neuropathy</u> as used herein refers to functional disturbances and/or pathological changes in the peripheral nervous system.

The term <u>Product</u> as used herein refers to any substance as defined herein as 25 Product A or Product B.

The term <u>Product A</u> as used herein refers to the substances whose synthesis and release are induced in the first cell type by neuregulin. Such substances include but are not limited to one or more of the following: nerve growth factor (NGF), neurotrophins, brain-derived neurotrophic factor, ciliary neurotrophic factor, leukemia inhibiting factor, interleukin 6, platelet derived growth factor, fibroblast growth factors, transforming growth factor B, epidermal growth factor, transforming growth factor a, neuregulins, insulin like growth factor, matrix molecules, adhesion molecules, growth factor receptors, low affinity NGF receptor, proteases, protease inhibitors, and antioxidants.

The term <u>Product B</u> as used herein refers to the substances whose synthesis and release are induced in the second cell type by Product A. Such substances include but

are not limited to one or more of the following: nerve growth factor (NGF), neurotrophins, brain-derived neurotrophic factor, ciliary neurotrophic factor, leukemia inhibiting factor, interleukin 6, platelet derived growth factor, fibroblast growth factors, transforming growth factor β, epidermal growth factor, transforming growth factor a, neuregulins, glial derived neurotrophic factor, insulin like growth factor, matrix molecules, adhesion molecules, growth factor receptors, low affinity NGF receptor (p75), proteases, protease inhibitors and antioxidants.

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The term <u>production</u> as used herein refers to induced or constitutive synthesis and/or release of a Product from a cell.

The term <u>protease</u> as used herein refers to an enzyme that hydrolyses peptide bonds in a protein molecule.

The term <u>protease inhibitor</u> as used herein refers to a molecule that inhibits the activity and/or function of a protease.

The term <u>Schwann cell</u> as used herein refers to the neuroglial cell composing the neurolemma of peripheral nerve fibers and its progenitors. A more complete definition of Schwann cells and their progenitors can be found in the following materials: Anderson, *FASEB J.* (1994) 8:707-713; Kandel, et al., *Principles of Neuroscience*, third ed. (1991), Appleton & Lange, Norwalk, CT.

The term second cell type as used herein refers to the cell type that interacts with and responds to Product A. The second cell type includes but is not limited to one or more of the following: neuron, glial cell, Schwann cell, astrocyte, oligodendrocyte, myoblast, muscle cell, satellite cell, skin cell, sensory organ cell, inflammatory cell such as macrophage, neutrophil, T-cell, eosinophil, mast cell, basophil and stromal cell such as fibroblasts or endothelial cells. A more complete definition may be found in Bloom and Fawcett, A Textbook of Histology, tenth ed. (1975), W. B. Saunders Company, Philadelphia, PA.

The term <u>sensory organ cell</u> as used herein refers to a primary sensory cell contained within a sensory organ and its progenitors and includes but is not limited to one or more of the following: taste cells, olfactory epithelial cell, rod and cone photoreceptors, Meisner corpuscle, Ruffini corpuscle, Merckel receptor, Pacinian corpuscle, muscle spindle cell, cochleovestibular hair cells and joint mechanoreceptor cells. A more complete definition of sensory organ cells and their progenitors can be

found in, Wheater, et al., Functional Histology (1987), Churchill Livingstone, New York, NY; Mahanthappa and Schwarting, Neuron (1993) 10:293-305; Forge, Li, Corwin and Nevill, Science (1993) 259:1616-1622; Tsue, Watling, Weisleder, Coltrera and Rubel, J. Neurosci (1994) 14:140-152.

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The term skin cell as used herein refers to the cellular components of the skin and includes fibroblasts, keratinocytes, epidermal cells, hair follicle cells, melanocytes, myoepithelial sweat gland cells, and sebaceous gland cells and their progenitors. A more complete definition of skin cells and their progenitors can be found in, Wheater, et al., Functional Histology (1987), Churchill Livingstone, New York, NY.

The term <u>spinal muscular atrophy</u> as used herein refers to a progressive disease of upper and lower motor neurons, usually present in childhood.

The term <u>survival</u> as used herein refers to any process where a cell avoids death. The term survival as used herein also refers to the prevention of cell loss as evidenced by necrosis or apoptosis or the prevention of other mechanisms of cell loss. Survival as used herein indicates a decrease in the rate of cell death of at least 10%, more preferably by at least 50%, and most preferably by the least 300% relative to an untreated control.

The rate of survival may be measured by counting cells stainable with a dye specific for dead cells (such as propidium iodide) in culture when the cells are 8 days post-differentiation (i.e., 8 days after the media is changed from 20% to 0.5% serum).

The term therapeutically effective amount as used herein refers to that amount which will produce a desirable result upon administration and which will vary depending upon a number of issues, including the dosage to be administered, and the route of administration.

The term third cell type as used herein refers to a cell type that interacts with and responds to Product B. The third cell type may be identical to the first cell type. The third cell type includes but is not limited to one or more of the following: neuron, glial cell, Schwann cell, astrocyte, oligodendrocyte, myoblast, muscle cell, satellite cell, skin cell, sensory organ cell, inflammatory cell such as macrophage, neutrophil, T-cell, eosinophil, mast cell, basophil and stromal cell such as fibroblasts or endothelial cells. A more complete definition may be found in Bloom and Fawcett, A Textbook of Histology, tenth ed. (1975), W. B. Saunders Company, Philadelphia, PA.

The term treating as used herein may refer to a procedure (e.g. medical procedure) designed to exert a beneficial effect on a disorder. Treating as used herein means any administration of a substance described herein for the purpose of increasing cellular communication of products. Most preferably, the treating is for the purpose of reducing or diminishing the symptoms or progression of a disease or disorder of cells. Treating as used herein also means the administration of a substance to increase or alter the cells in healthy individuals. The treating may be brought about by the contacting of the cells which are sensitive or responsive to the neuregulins described herein with an effective amount of the neuregulin.

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The term <u>trophic</u> as used herein refers to an effect of a substance on a cell, including but not limited to proliferation, growth, sprouting, differentiation or survival.

The term <u>vertebrate</u> as used herein refers to an animal that is a member of the Subphylum Vertebrata (Phylum Chordata).

# Neuregulins

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A novel aspect of the present invention relates to the ability of neuregulins to affect cellular communication between different and similar cell types. Neuregulins are the products of a gene which produce a number of variably-sized, differentially-spliced RNA transcripts that give rise to a series of proteins. These proteins are of different lengths and contain some common peptide sequences and some unique peptide sequences. The conclusion that these factors are encoded by a single gene is supported by the differentially-spliced RNA sequences which are recoverable from bovine posterior pituitary, human spinal chord and human breast cancer cells (MDA-MB-231). Further support for this conclusion derives from the size range of proteins which act as ligands for the p185erbB2 receptor (see below).

Further evidence to support the fact that the genes encoding GGF/p185erbB2 binding proteins are homologous comes from nucleotide sequence comparison. Holmes et al., (Science (1992) 256:1205-1210) demonstrate the purification of a 45-kilodalton human protein (Heregulin-α) which specifically interacts with the receptor protein p185erbB2. Peles et al., (Cell (1992) 69:559) describe a complementary DNA isolated from rat cells encoding a protein call "neu differentiation factor" (NDF). The translation product of the NDF cDNA has p185erbB binding activity. Several other groups have reported the purification of proteins of various molecular weights with p185erbB2 binding activity. These groups include the following: Lupu et al., (1992) Proc. Nat'l. Acad. Sci. USA 89:2287; Yarden and Peles, (1991) Biochemistry 30:3543; Lupu et al., (1990) Science 249:1552; Dobashi et al., (1991) Biochem. Biophys. Res. Comm. 179:1536; and Huang et al., (1992) J. Biol. Chem. 257:11508-11512.

We have found that p185erbB2 and related receptor binding proteins (i.e., p185erbB3 and p185erbB4) affect cellular communication. This effect results in the production of a product from a first cell type, where the product, in turn affects the function of a second cell type. The affect in a function of the second cell type and can result in the production of other products which also can affect functions of other cell types. For example, neuregulins can interact with Schwann cells, which as a result of this interaction produce neurotrophic agents. These agents, in turn, interact with neurons to promote their neuronal regeneration. Alternatively, in the central nervous system, a first cell type, being a neuron, could produce a neuregulin, which in turn, affects a second cell type which is a neuron also.

These neuregulins may be identified using the protocols described herein (Examples 1 and 2) and in Holmes et al., Science (1992) 256: 1205; Peles et al., Cell (1992) 69:205; Wen et al., Cell (1992) 69:559; Lupu et al., Proc. Nat'l. Acad. Sci. USA (1992) 89:2287; Yarden and Peles, Biochemistry (1991) 30:3543; Lupu et al., Science (1990) 249:1552; Dobashi et al., Biochem. Biophys. Res. Comm. (1991) 179:1536; Huang et al., J. Biol. Chem. (1992) 257:11508-11512; Marchionni et al., Nature (1993) 362:313; and in U.S. Patent Application Serial No. 07/931,041, filed August 17, 1992, all of which are incorporated herein by reference.

Specifically, the invention provides for use of polypeptides of a specified formula, and DNA sequences encoding those polypeptides. The polypeptides have the formula

#### **WYBAZCX**

wherein WYBAZCX is composed of the amino acid sequences shown in Figure 13; wherein W comprises the polypeptide segment F, or is absent; wherein Y comprises the polypeptide segment E, or is absent; wherein Z comprises the polypeptide segment G or is absent; and wherein X comprises the polypeptide segments C/D HKL, C/D H, C/D HL, C/D D, C/D' HL, C/D HKL, C/D' HKL, C/D HKL, C/D C/D' HKL, C/D C/D' HKL, C/D C/D' HKL, C/D C/D' HKL, C/D D' HKL, C/D D' HKL, C/D' D' HL, C/D D' HKL, C/D' D' HKL, c/D' D' HKL, either

- a) at least one of F, Y, B, A, Z, C, or X is of bovine origin; or
- b) Y comprises the polypeptide segment E; or

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In addition, the invention includes the use of the DNA sequence comprising coding segments 5'FBA3' as well as the corresponding polypeptide segments having the amino acid sequences shown in Figure 13;

the DNA sequence comprising the coding segments <sup>5</sup>FBA'<sup>3</sup> as well as the corresponding polypeptide segments having the amino acid sequences shown in Figure 13;

the DNA sequence comprising the coding segments <sup>5</sup>FEBA<sup>3</sup> as well as the corresponding polypeptide segments having the amino acid sequences shown in Figure 13;

the DNA sequence comprising the coding segments <sup>5</sup>'FEBA'<sup>3</sup>' as well as the corresponding polypeptide segments having the amino acid sequences shown in Figure 13:

the DNA sequence comprising the polypeptide coding segments of the GGF2HBS5 cDNA clone (ATCC Deposit No. 75298, deposited September 2, 1992), also known as GGF-II.

The invention further includes the use of peptides of the formula FBA, FEBA, FBA' FEBA' and DNA sequences encoding these peptides wherein the polypeptide segments correspond to amino acid sequences shown in Figure 13. The purified GGF-II polypeptide is also included as part of the invention.

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Also included in this invention is the mature GGF peptide and the DNA encoding said peptide, exclusive of the N-terminal signal sequence, which is also useful for treatment of conditions involving abnormalities in cellular communication.

Furthermore, the invention includes a method of cellular communication by the application to a vertebrate of a

- 30 kD polypeptide factor isolated from the MDA MB 231 human breast cell line; or
  - 35 kD polypeptide factor isolated from the rat I-EJ transformed fibroblast cell line to the glial cell; or
    - -75 kD polypeptide factor isolated from the SKBR-3 human breast cell line; or
- -44 kD polypeptide factor isolated from the rat I-EJ transformed fibroblast cell 30 line, or
  - -25kD polypeptide factor isolated from activated mouse peritoneal macrophages; or
  - -45 kD polypeptide factor isolated from the MDA MB 231 human breast cell; or
- 35 -7 to 14 kD polypeptide factor isolated from the ATL-2 human T-cell line to the glial cell; or
  - -25 kD polypeptide factor isolated from the bovine kidney cell; or
  - -42 kD polypeptide factor (ARIA) isolated from brains.

The invention further includes a method for the use of the EGFL1, EGFL2, EGFL3, EGFL4, EGFL5 and EGFL6 polypeptides, Figure 18 to Figure 23 respectively, and for the methods of affecting cellular communication in vivo and in vitro.

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Also included in the invention is the administration of the GGF-II polypeptide whose sequence is shown in Figure 24, for affecting cellular communication.

An additional aspect of the invention includes the use of the above-referenced peptides for the purpose of stimulating Schwann cells to produce growth factors which may, in turn, be harvested for scientific or therapeutic use.

Thus, the invention further embraces a polypeptide factor capable of affecting cellular communication and including an amino acid sequence encoded by:

- (a) a DNA sequence shown in Figure 11;
- (b) a DNA sequence shown in Figure 27;
- (c) the DNA sequence represented by nucleotides 281-557 of the sequences shown in Figure 11; or
- (d) a DNA sequence hybridizable to any one of the DNA sequences according to (a), (b) or (c).

The invention further includes sequences which have greater than 60%, preferably 80%, sequence identity of homology to the sequences indicated above.

While the present invention is not limited to a particular set of hybridization conditions, the following protocol gives general guidance which may, if desired, be followed:

DNA probes may be labeled to high specific activity (approximately 10<sup>8</sup> to 10<sup>9</sup>

30 32 Pdmp/μg) by nick-translation or by PCR reactions according to Schowalter and Sommer (Anal. Biochem. (1989) 177:90-94) and purified by desalting on G-150 Sephadex columns. Probes may be denatured (10 minutes in boiling water followed by immersion into ice water), then added to hybridization solutions of 80% buffer B (2g polyvinylpyrolidine, 2g Ficoll-400, 2g bovine serum albumin, 50ml 1M Tris HCl (pH 7.5), 58g NaCl, 1g sodium pyrophosphate, 10g sodium dodecyl sulfate, 950 ml H<sub>2</sub>O) containing 10% dextran sulfate at 10<sup>6</sup> dpm <sup>32</sup>P per ml and incubated overnight (approximately 16 hours) at 60° C. The filters may then be washed at 60° C first in

buffer B for 15 minutes followed by three 20-minute washes in 2X SSC, 0.1% SDS then one for 20 minutes in 1XSSC, 0.1% SDS.

In other respects, the invention provides:

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(a) a basic polypeptide factor which has, if obtained from bovine pituitary material, an observed molecular weight, whether in reducing conditions or not, of from about 30kD to about 36kD on SDS-polyacrylamide gel electrophoresis using the following molecular weight standards:

	Lysozyme (hen egg white)	14,400
10	Soybean trypsin inhibitor	21,500
	Carbonic anhydrase (bovine)	31,000
	Ovalbumin (hen egg white)	45,000
	Bovine serum albumin	66,200
	Phosphorylase B (rabbit muscle)	97,400;

which factor has glial cell mitogenic activity including stimulating the division of rat Schwann cells in the presence of fetal calf plasma, and when isolated using reversed-phase HPLC retains at least 50% of said activity after 10 weeks incubation in 0.1 % trifluoroacetic acid at 4° C; and

(b) a basic polypeptide factor which has, if obtained from bovine pituitary material, an observed molecular weight, under non-reducing conditions, of from about 55 kD to about 63 kD on SDS-polyacrylamide gel electrophoresis using the following molecular weight standards:

	Lysozyme (hen egg white)	14,400
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20	Soybean trypsin inhibitor	21,500
	Carbonic anhydrase (bovine)	31,000
	Ovalbumin (hen egg white)	45,000
	Bovine serum albumin	66,200
	Phosphorylase B (rabbit muscle)	97,400;

which factor the human equivalent of which is encoded by DNA clone GGF2HBS5 described herein and is capable of affecting cellular communication.

For convenience of description only, the lower molecular weight and higher molecular weight factors of this invention are referred to hereafter as "GGF-I" and "GGF-II", respectively. The "GGF2" designation is used for all clones isolated with peptide sequence data derived from GGF-II protein (i.e., GGF2HBS5, GGF2BPP3).

It will be appreciated that the molecular weight range limits quoted are not exact, but are subject to slight variations depending upon the source of the particular polypeptide factor. A variation of, say, about 10% would not, for example, be impossible for material from another source.

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Another important aspect of the invention is a DNA sequence encoding a polypeptide capable of affecting cellular communication and comprising:

- (a) a DNA sequence shown Figure 11;
- (b) a DNA sequence shown in Figure 27;
- 10 (c) the DNA sequence represented by nucleotides 281-557 of the sequence shown in Figure 11; or
  - (d) a DNA sequence hybridizable to any one of the DNA sequences according to (a), (b) or (c).

Thus other important aspects of the invention are:

- (a) A series of human and bovine polypeptide factors capable of affecting cellular communication. These peptide sequences are shown in Figures 13, 14, 15 and 16, respectively.
- (b) A series of polypeptide factors capable of affecting cellular communication and purified and characterized according to the procedures outlined by Lupu et al., Science (1990) 249:1552; Lupu et al., Proc. Nat'l. Acad. Sci USA (1992) 89: 2287; Holmes et al., Science (1992) 256:1205; Peles et al., Cell (1992) 69:205; Yarden and Peles, Biochemistry (1991) 30:3543; Dobashi et al., Proc. Nat'l. Acad. Sci. (1991) 88: 8582; Davis et al., Biochem. Biophys. Res. Commun. (1991) 179:1536; Beaumont et al., Patent Application PCT/US91/03443 (1990); Greene et al., Patent Application PCT/US91/02331 (1990); Usdin and Fischbach, J. Cell. Biol. (1986) 103:493-507; Falls et al., Cold Spring Harbor Symp. Quant. Biol. (1990) 55:397-406; Harris et al., Proc. Nat'l. Acad. Sci. USA (1991) 88:7664-7668; and Falls et al., Cell (1993) 72:801-815.
  - (c) A polypeptide factor (GGFBPP5) capable of affecting cellular communication. The amino acid sequence is shown in Figure 14, and is encoded by the bovine DNA sequence shown in Figure 14.

The novel human peptide sequences described above and presented in Figures 13, 14, 15, and 16, respectively, represent a series of splicing variants which can be isolated as full length complementary DNAs (cDNAs) from natural sources (cDNA libraries prepared from the appropriate tissues) or can be assembled as DNA constructs with individual exons (e.g., derived as separate exons) by someone skilled in the art.

Other compounds in particular, peptides, which bind specifically to the p185erbB2 receptor and related receptors can also be used according to the invention as affections of cellular communication. A candidate compound can be routinely screened for p185erbB2 binding, and, if it binds, it can then be screened for affecting cellular communication using the methods described herein.

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The invention includes any modifications or equivalents of the above polypeptide factors which do not exhibit a significantly reduced activity. For example, modifications in which amino acid content or sequence is altered without substantially adversely affecting activity are included. By way of illustration, in EP-A 109748, mutations of native proteins are disclosed in which the possibility of unwanted disulfide bonding is avoided by replacing any cysteine in the native sequence which is not necessary for biological activity with a neutral amino acid. The statements of effect and use contained herein are therefore to be construed accordingly, with such uses and effects employing modified or equivalent factors being part of the invention.

The new sequences of the invention open up the benefits of recombinant technology. The invention thus also includes the following aspects:

- (a) DNA constructs comprising DNA sequences as defined above in operable reading frame position within vectors (positioned relative to control sequences so as to permit expression of the sequences) in chosen host cells after transformation thereof by the constructs (preferably the control sequence includes regulatable promoters, e.g. Trp). It will be appreciated that the selection of a promoter and regulatory sequences (if any) are matters of choice for those of skill in the art:
- (b) host cells modified by incorporating constructs as defined in (a) immediately above so that said DNA sequences may be expressed in said host cells the choice of host is not critical, and chosen cells may be prokaryotic or eukaryotic and may be genetically modified to incorporate said constructs by methods known in the art; and,
- (c) a process for the preparation of factors as defined above comprising cultivating the modified host cells under conditions permitting expression of the DNA sequences. These conditions can be readily determined, for any particular embodiment, by those of skill in the art of recombinant DNA technology. Glial cell mitogens prepared by this means are included in the present invention.
- None of the factors described in the art has the combination of characteristics possessed by the present new polypeptide factors.

The invention also includes a neuregulin as defined above, by extracting vertebrate brain material to obtain protein, subjecting the resulting extract to chromatographic purification by hydroxyapatite HPLC and then subjecting these fractions to SDS-polyacrylamide gel electrophoresis. The fraction which as an observed molecular weight of about 30kD to 36kD and/or the fraction which has an observed molecular weight of about 55kD to 63kD is collected. In either case, the fraction is subjected to SDS-polyacrylamide gel electrophoresis using the following molecular weight standards:

	Lysozyme (hen egg white)	14,400
10	Soybean trypsin inhibitor	
	Carbonic anhydrase (bovine)	31,000
·	Ovalbumin (hen egg white)	45,000
	Bovine serum albumin	66,200
	Phosphorylase B (rabbit muscle)	97,400

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In the case of the smaller molecular weight fraction, the SDS-polyacrylamide gel is run in non-reducing conditions or in reducing conditions and in the case of the larger molecular weight fraction, the gel is run under non-reducing conditions. The fractions are then tested for activity stimulating the division of rat Schwann cells against a background of fetal calf plasma.

Preferably, the above process starts by isolating a relevant fraction obtained by carboxymethyl cellulose chromatography, e.g. from bovine pituitary material. It is also preferred that hydroxyapatite HPLC, cation exchange chromatography, gel filtration, and/or reversed-phase HPLC be employed prior to the SDS-Polyacrylamide gel electrophoresis. At each stage in the process, activity may be determined using Schwann cell incorporation of radioactive iododeoxyuridine as a measure in an assay generally as described by Brockes in *Meth. Enz.* (1987) 147:217-225, but modified by substituting 10% FCP for 10% FCS. As already noted, such as assay is an aspect of the invention in its own substance for CNS or PNS cell, e.g. Schwann cell, mitogenic effects.

Compounds may be assayed for their usefulness in vitro using the methods provided in the description and examples below. Following the in vitro demonstration of the effect of neuregulins on cellular communication between various cell types, the in vivo therapeutic benefit of the effect can be accomplished by the administration of neuregulins, neuregulin producing cells or DNA encoding neuregulins to a vertebrate

requiring therapy. In a specific example, in vivo testing can be demonstrated as described in Example 3.

The invention includes the use of the above named family of proteins (i.e. neuregulins) as extracted from natural sources (tissues or cell lines) or as prepared by recombinant means.

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Other compounds in particular, peptides, which bind specifically to the p185erbB2 and related receptor binding proteins (i.e., p185erbB3 and p185erbB4) can also be used according to the invention as affectors of cellular communication. A candidate compound can be routinely screened for p185erbB2, p185erbB3 and p185erbB4 binding, and if it binds, can then be screened for affecting cellular communication using the methods described herein.

The invention includes use of any modifications or equivalents of the above polypeptide factors which do not exhibit a significantly reduced activity related to affecting cellular communication. For example, modifications in which amino acid content or sequence is altered without substantially adversely affecting activity are included. The statements of effect and use contained herein are therefore to be construed accordingly, with such uses and effects employing modified or equivalent factors being part of the invention.

The human peptide sequences described above represent a series of splicing variants which can be isolated as full length complementary DNAs (cDNAS) from natural sources (cDNA libraries prepared from the appropriate tissues) or can be assembled as DNA constructs with individual exons (e.g., derived as separate exons) by someone skilled in the art.

The invention includes methods for the use of any protein which is substantially homologous to the coding segments in Figure 13, as well as other naturally occurring GGF polypeptides for the purpose of inducing muscle mitogenesis. Also included are the use of: allelic variations; natural mutants; induced mutants; proteins encoded by DNA that hybridizes under high or low stringency conditions to a nucleic acid naturally occurring (for definitions of high and low stringency see *Current Protocols in Molecular Biology*, (1989) John Wiley & Sons, New York, NY, 6.3.1 - 6.3.6, hereby incorporated by reference); and the use of polypeptides or proteins specifically bound by antisera to GGF polypeptides. The term also includes the use of chimeric polypeptides that include the GGF polypeptides comprising sequences from Figure 13.

## Use of Neuregulins

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A novel aspect of the invention involves the use of neuregulins as factors to promote cell communication by inducing the production of products. These Products affect the function of these cells. Treatment of the cells to achieve these effects may be achieved by contacting cells with a polypeptide described herein.

The methods of the invention may also be used to treat a patient suffering from a disease caused by a lack of trophic factor(s). The lack of trophic factor(s) is defined by a decreased amount of trophic factor(s) relative to that of an unaffected individual sufficient to cause detectable alteration in the biological effect of those trophic factor(s). The neurotrophic factor(s) may be present at levels 10% below those observed in unaffected individuals. More preferably, the factor(s) are present at levels 20% lower than that observed in unaffected individuals, and most preferably the levels are lowered by 80% relative to unaffected individuals under similar circumstances.

The methods of the invention make use of the fact that the neuregulin proteins are encoded by the same gene. A variety of messenger RNA splicing variants (and their resultant proteins) are derived from this gene and many of these products show binding to p185erbB2 and related receptors and activation of the same. This invention provides a use for all of the known products of the neuregulin gene (described herein and in the references listed above). Most preferably, recombinant human GGF2 (rhGGF2) is used in these methods.

The invention also relates to the use of other, not yet naturally isolated, splicing variants of the neuregulin gene. Figure 12 shows the known patterns of splicing. These patterns are derived from polymerase chain reaction experiments (on reverse transcribed RNA), analysis of cDNA clones (as presented within), and analysis of published sequences encoding neuregulins (Peles et al., Cell (1992) 69:205 and Wen et al., Cell (1992) 69:559). These patterns, as well as additional patterns disclosed herein, represent probable splicing variants which exist. The splicing variants are fully described in Goodearl et al., USSN 08/036,555, filed March 24, 1993, incorporated herein by reference.

More specifically, effects on cell communication may be achieved by contacting cells with a polypeptide defined by the formula

**WYBAZCX** 

wherein WYBAZCX is composed of the polypeptide segments shown in Figure 13; wherein W comprises the polypeptide segment F, or is absent wherein Y comprises the polypeptide segment E, or is absent; wherein Z comprises the polypeptide segment G or is absent; and wherein X comprises the polypeptide segment C/D HKL, C/D H, C/D HL, C/D D, C/D' HL, C/D HKL, C/D HKL, C/D HKL, C/D C/D' HKL, C/D C/D' HKL, C/D C/D' HKL, C/D C/D' HKL, C/D D' HKL, C/D D' HKL, C/D D' HKL, C/D' D' HKL.

Furthermore, the invention includes a method of treating muscle cells by the application to the muscle cell of a

- -30kD polypeptide factor isolated from the MDA-MB 231 human breast cell line; or
- -35kD polypeptide factor isolated from the rat I-EJ transformed fibroblast cell line to the glial cell; or
  - -75kD polypeptide factor isolated from SKBR-3 human breast cell line; or
- -44kD polypeptide factor isolated from the rat I-EJ transformed fibroblast cell line; or
- -25kD polypeptide factor isolated from activated mouse peritoneal macrophages; or
  - -45kD polypeptide factor isolated from the MDA-MB 231 human breast cell; or
- -7 to 14kD polypeptide factor isolated from the ATL-2 human T-cell line to the glial cell; or
  - -25kD polypeptide factor isolated from the bovine kidney cells; or
  - -42kD ARIA polypeptide factor isolated from brain; or
  - -46-47kD polypeptide factor which stimulates 0-2A glial progenitor cells; or
- -43-45kD polypeptide factor, GGFIII, U.S. patent application Serial No. 07/931,041, filed August 17, 1992, incorporated herein by reference.

The invention includes use of any modifications or equivalents of the above polypeptide factors which do not exhibit a significantly reduced activity. For example, modifications in which amino acid content or sequence is altered without substantially adversely affecting activity are included. The statements of effect and use contained herein are therefore to be construed accordingly, with such uses and effects employing modified or equivalent factors being part of the invention.

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The human peptide sequences described above and presented in Figures 13, 14, 15, and 16, respectively, represent a series of splicing variants which can be isolated as full-length complementary DNAs (cDNAs) from natural sources (cDNA libraries

prepared from the appropriate tissues) or can be assembled as DNA constructs with individual exons (e.g., derived as separate exons) by someone skilled in the art.

Another aspect of the invention is the use of a pharmaceutical or veterinary formulation comprising any factor as defined above formulated for pharmaceutical or veterinary use, respectively, optionally together with an acceptable diluent, carrier or excipient and/or in unit dosage form. In using the factors of the invention, conventional pharmaceutical or veterinary practice may be employed to provide suitable formulations or compositions.

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A medicament is made by administering the polypeptide with a pharmaceutically effective carrier.

Thus, the formulations to be used as a part of the invention can be applied to parenteral administration, for example, intravenous, subcutaneous, intramuscular, intraorbital, ophthalmic, intraventricular, intracranial, intracapsular, intraspinal, intracisternal, intraperitoneal, topical, intranasal, aerosol, scarification, transdermal and by other slow release devices (i.e., osmotic pump-driven devices; see also U.S.S.N. 08/293,465, hereby incorporated by reference) and also oral, buccal, rectal or vaginal administration.

The formulations of this invention may also be administered by the transplantation into the patient of host cells expressing the DNA encoding polypeptides which are effective for the methods of the invention or by the use of surgical implants which release the formulations of the invention.

Parenteral formulations may be in the form of liquid solutions or suspensions; for oral administration, formulations may be in the form of tablets or capsules; and for intranasal formulations, in the form of powders, nasal drops, or aerosols.

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Methods well-known in the art for making formulations are to be found in, for example, "Remington's Pharmaceutical Sciences." Formulations for parenteral administration may, for example, contain as excipients sterile water or saline, polyalkylene glycols such as polyethylene glycol, oils of vegetable origin, or hydrogenated naphthalenes, biocompatible, biodegradable lactide polymer, or polyoxyethylene-polyoxypropylene copolymers may be used to control the release of the present factors. Other potentially useful parenteral delivery systems for the factors include ethylene-vinyl acetate copolymer particles, osmotic pumps, implantable

infusion systems, and liposomes. Formulations for inhalation may contain as excipients, for example, lactose, or may be aqueous solutions containing, for example, polyoxyethylene-9-lauryl ether, glycocholate and deoxycholate, or may be oily solutions for administration in the form of nasal drops, or as a gel to be applied intranasally. Formulations for parenteral administration may also include glycocholate for buccal administration, methoxysalicylate for rectal administration, or citric acid for vaginal administration.

The present factors can be used as the sole active agents, or can be used in combination with other active ingredients, e.g., other growth factors which could facilitate neuronal survival in neurological diseases, or peptidase or protease inhibitors.

The concentration of the present factors in the formulations of the invention will vary depending upon a number of issues, including the dosage to be administered, and the route of administration.

In general terms, the factors of this invention may be provided in an aqueous physiological buffer solution containing about 0.1 to 10% w/v compound for parenteral administration. General dose ranges are from about 1  $\mu$ g/kg to about 1 g/kg of body weight per day; a preferred dose range is from about 0.01 mg/kg to 100 mg/kg of body weight per day. The preferred dosage to be administered is likely to depend upon the type and extent of progression of the pathophysiological condition being addressed, the overall health of the patient, the make up of the formulation, and the route of administration.

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A further general aspect of the invention is the use of a factor of the invention in the manufacture of a medicament, preferably for the treatment of a disease or disorder. The "GGF2" designation is used for all clones which were previously isolated with peptide sequence data derived from GGF-II protein (i.e., GGF2HBS5, GGF2BPP3) and, when present alone (i.e., GGF2 or rhGGF2), to indicate recombinant human protein encoded by plasmids isolated with peptide sequence data derived from the GGF-II protein (i.e., as produced in insect cells from the plasmid HBS5). Recombinant human GGF from the GGFHBS5 clone is called GGF2, rhGGF2 and GGF2HBS5 polypeptide.

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Methods for treatment of diseases or disorders using neuregulins in this manner are also part of the invention. Administration of neuregulins to induce the production of a substance or substances from a neuregulin responsive cell can be used in any disorder where an increase in a neuregulin inducible substance that is trophic for the disease

affected neurons would be of benefit. In peripheral nerve injury or peripheral nerve disorders such as the neuropathies administration of neuregulins will elicit the production of neurotrophic substances from known neuregulin target tissues such as Schwann cells and muscle. These induced substances can enhance axonal repair. Alzheimer's disease is another target for neuregulin therapy. In the brain, neuregulins are detectable in cholinergic motor neurons (Chen, et al., *J. Comparative Neurology* (1994) 349:389-400), these neurons degenerate in Alzheimer's disease and many show trophic responses to neurotrophic factors such as NGF. Neuregulins can be used to induce the synthesis of neurotrophic factors in those neurons that interact with cholinergic neurons. Similar therapeutic approaches may be used in other neurodegenerative disorders such as Parkinson's disease, amyotrophic lateral sclerosis, spinal muscular atrophy or any disease where stimulation of the synthesis of substances that are trophic for disease affected neurons might be of benefit.

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Methods for treatment of diseases or disorders using nucleic acid constructs encoding neuregulins or neuregulin producer cells are also part of the invention.

Delivery of DNA to a cell or tissue that will take up the DNA, express the DNA and produce neuregulin as shown by Wolff et al., (Science (1990) 247:1465) and Ascadi et al., (Nature (1991) 352:815) is an aspect of the invention. The neuregulin produced by this method will act on the first cell type and elicit the responses described above. Genetic modification of cultured cells (or their precursors) such as fibroblasts (as shown by Wolff et al. Proc. Nat'l Acad. Sci. USA (1988) 86:1575) or such as those derived from the nervous system (as shown by Weiss et al. International Patent Application number PCT/US94/01053; publication number WO 94/16718) to induce the production of neuregulin from the cultured cells is another aspect of this invention. The genetically modified neuregulin producer cells can be transplanted to a position near the first cell type and elicit the responses described above.

# Assays for Determining Neuregulin Effect(s) on Cellular Communication

Described below are generic methods for detecting the ability of a neuregulin to induce in a first cell type, the production of a product (Product A) that is trophic for a second cell type. A general reference on cell and tissue culture is *Cell and Tissue Culture: Laboratory Procedures* (Ed. by A. Doyle, J. B. Griffiths, and D. G. Newell, John Wiley and Sons, New York, NY, 1994). General references on the culture of neural cells and tissues are *Methods in Neurosciences, Vol. 2* (Ed. by P. M. Conn. Academic Press, Sand Diego, CA, 1990) and *Culturing Nerve Cells* (Ed. by G. Banker and K. Goslin, MIT Press, Cambridge, MA 1991). General references of immunocytochemistry are *Antibodies: A Laboratory Manual* (E. Harlow and D. Lane, Cold Spring Harbor Laboratory, Cold Spring Harbor, NY, 1988), and *Immunocytochemistry II* (Ed. by A. C. Cuello, John Wiley and Sons, New York, NY, 1993).

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The vertebrate cells used in this invention may be cultured in a variety of media. Commercially available media such as Ham's F10 (Sigma), Minimal Essential Medium ([MEM], Sigma), RPMI-1640 (Sigma), and Dulbecco's Modified Eagle's Medium ([DMEM], Sigma) are suitable for culturing the host cells. In addition, any of the media described in Ham and Wallace, Meth. Enz. (1979) 58:44; Barnes and Sato, Anal. Biochem. (1980) 102:255; U.S. Pat. Nos. 4,767,704; 4,657,866; 4,927,762; or 4,560,655; WO 90/03430; WO 87/00195 and U.S. Pat. Re. 30,985, may be used as culture media for the host cells. Any of these media may be supplemented as necessary with hormones and/or other growth factors (such as insulin, transferrin, or epidermal growth factor), salts (such as sodium chloride, calcium, magnesium, and phosphate), buffers (such as HEPES), nucleosides (such as adenosine and thymidine), antibiotics (such as Gentamycin<sup>™</sup> drug), trace elements (defined as inorganic compounds usually present at final concentrations in the micromolar range), and glucose or an equivalent energy source. Any other necessary supplements may also be included at appropriate concentrations that would be known to those skilled in the art. The culture conditions. such as temperature, pH, and the like, are those previously used with the host cell selected for expression and will be apparent to the ordinarily skilled artisan.

#### Method I -

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The use of separate cultures of a first cell type and a second cell type, to demonstrate that neuregulin induces the first cell type to produce a secreted substance that is trophic for the second cell type.

- 1. Establish cultures of cells from the tissue of interest (e.g. spinal cord, pancreas, gut, etc.). These cultures are enriched for the first cell type such that preferably greater than 90% of the cells can be demonstrated to be the same cell type through the use of immunocytochemical and/or enzymatic markers (e.g. tubulin β3 for neurons (A. Banerjee, M. C. Roach, P. Trcka, and R. F. Luduena, Increased microtubule assembly in bovine brain tubulin lacking the type III isotype of b tubulin. *J. Biol. Chem.* (1990) 265:1794-1799), Islet-1 for pancreatic islet cells (O. Karlsson, S. Thor, T. Norbert, H. Ohlsson, and T. Edlund, Insulin gene enhanced binding protein Isl-1 is a member of a novel class of proteins containing both a homeo and a Cys-His domain. *Nature* (1990) 344:879-882)).
- 2. Establish cultures of cells from the same tissue of interest as in step 1. These cultures are enriched for the second cell type such that preferably greater than 90% of the cells can be demonstrated to be the same cell type through the use of immunocytochemical and/or enzymatic markers.
- 3. Expose the first cell type cultures to varying doses of neuregulin for varying periods of time, preferably greater than 1 minute and less than 7 days. At the end of the culture period, collect the conditioned culture medium, remove debris by centrifugation (200 g, 10 minutes) and filtration (nylon filter, 0.22 mm pore size). This medium (conditioned medium) will contain the secreted product(s) of the first cell type, Product A.
- 4. Replace or supplement the medium of the second cell type cultures with media prepared as in step 3. Include among these medium samples, medium that has been conditioned by the first cell type cultures in the absence of neuregulin (control conditioned medium). Include among the medium samples, media containing neuregulin that have not been conditioned by the first cell type cultures (non-conditioned medium).
  - 5. Maintain the second cell type cultures as described in step 4 for varying periods of time preferably greater than 1 day and less than 7 days. Assess various aspects of

cellular phenotype such as, but not limited to, cell survival, morphology, production of enzymes and secreted products, etc.

- Assess the effects of the neuregulin. The neuregulin is trophic for the first cell type in a manner that promotes the production of products trophic for the second cell type if:
  - a. Medium conditioned by the first cell type cultures in the presence of neuregulin maintains or increases desired aspects of cellular phenotype such as, but not limited to cell survival, morphology, production of enzymes and secreted products, etc.;
- b. equal volumes of control conditioned medium lack the activity described in criterion (a.), or demonstrate lesser degrees of the activity described in criterion (a.);

and

c. equal volumes of non-conditioned medium lack the activity described in criterion (a.), or demonstrate lesser degrees of the activity described in criterion (a.).

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The induction by neuregulin of a secreted product, Product A, such that Product A affects a third cell type, can also be tested as in Method I. Establish cultures of cells from the same tissue of interest as in step 1. These cultures are enriched for the third cell type, such that preferably greater than 90% of the cells can be demonstrated to be the same cell type through the use of immunocytochemical and/or enzymatic markers. Substitute the third cell type cultures for the second cell type cultures in steps 4-6.

If Product A is not secreted, but is bound to the surface of the first cell type, or is bound to insoluble extracellular matrix associated with the first cell type, an alternative procedure is to be used:

#### Method II -

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The use of separate cultures of the first and second cell types, to demonstrate that neuregulin induces the first cell type to produce a substance on its surface that is trophic for the second cell type.

- 1. Establish cultures of cells from the tissue of interest (e.g. spinal cord, pancreas, gut, etc.). These cultures are enriched for the first cell type such that preferably greater than 90% of the cells can be demonstrated to be the same cell type through the use of immunocytochemical and/or enzymatic markers (e.g. tubulin β3 for neurons, Islet-1 for pancreatic islet cells).
- 2. Expose the first cell type cultures to varying doses of neuregulin for varying periods of time, preferably greater than 1 hour and less than 7 days. At the end of the culture period, remove the culture medium and establish a co-culture of the first and second cell types as follows. Rinse the first cell type cultures 3 times with fresh culture medium lacking neuregulin so as to rinse away residual neuregulin. Add back a suspension of the second cell type, from the same tissue of interest as in step 1 in fresh medium lacking neuregulin. The suspension is enriched for the second cell type, such that preferably greater than 90% of the cells can be demonstrated to be the same cell type through the use of immunocytochemical and/or enzymatic markers.
- 3. In parallel to step 2, plate the same suspension of cells of the second cell type on the first cell type cultures that have not been treated with neuregulin (control co-cultures).
- 4. Maintain the first cell type/second cell type co-cultures for varying periods of time preferably greater than 1 day and less than 7 days. Assess various aspects of cellular phenotype of the second cell type such as, but not limited to, cell survival, morphology, production of enzymes and secreted products, etc.
- 5. Assess the effects of neuregulin. Neuregulin is trophic for the first cell type in a manner that promotes the production of products trophic for the second cell type if:
- a. The first cell type cultures pre-treated with neuregulin maintain or increase desired aspects of cellular phenotype of the second cell type such as, but not limited to cell survival, morphology, production of enzymes and secreted products, etc.;

and

b. The first cell type cultures that have not been pre-treated with Product A lack the activity described in criterion (a.), or demonstrate lesser degrees of the activity described in criterion (a.).

The induction by neuregulin of a cell surface-bound or extracellular matrix-bound product, Product A, such that Product A affects a third cell type, can also be tested as in Method II. In steps 2-4, use a suspension of the third cell type rather than the second cell type such that preferably greater than 90% of the cells can be demonstrated to be the third cell type through the use of immunocytochemical and/or enzymatic markers.

Described below are methods for detecting the activities of a neuregulin that induces neuronally-associated tissues to produce a neurotrophic product or product(s) (Product A):

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#### Method III -

The use of separate cultures of neurons and neuronally associated tissues, to demonstrate that neuronally induces a neuronally associated tissue (the first cell type) to produce a secreted product that is trophic for neurons (the second cell type).

1. Establish neuron-free cultures of neuronally-associated cell types (e.g. glia, fibroblasts). These cultures are enriched for a single cell type (the first cell type) such that preferably greater than 90% of the cells can be demonstrated to be the same cell type through the use of immunocytochemical and/or enzymatic markers (e.g. S-100 for peripheral glia (K. R. Jessen and R. Mirsky, Schwann cell: early lineage, regulation of proliferation and control of myelin formation. *Curr. Op. Neurobiol.* (1992) 2:575-581), fibronectin for fibroblasts (K. M. Yamada, Cell surface interactions with extracellular materials. *Ann. Rev. Biochem.* (1983) 52:761-799)).

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- 2. Establish cultures of neurons from the neuronal tissue of interest (e.g. superior cervical ganglion, spinal motor column). These cultures are enriched for neurons (the second cell type) such that preferably greater than 90% of the cells can be demonstrated to be the same cell type through the use of immunocytochemical and/or enzymatic markers (e.g. tubulin β3 for all neurons, choline acetyltransferase for cholinergic neurons (J.C. Martinou, A. L. V. Thai, G. Cassar, F. Roubinet, and M. J. Weber, Characterization of two factors enhancing choline acetyltransferase in cultures of purified rat motoneurons. J. Neurosci. (1989) 9:3645-3656)).
- 25 3. Expose the first cell type cultures to varying doses of neuregulin for varying periods of time, preferably greater than 1 hour and less than 7 days. At the end of the culture period, collect the conditioned culture medium, remove debris by centrifugation (200 g, 10 minutes) and filtration (nylon filter, 0.22 mm pore size).
- 30 4. Replace or supplement the medium of the second cell type cultures with media prepared as in step 3. Include among these medium samples, medium that has been conditioned by the first cell type cultures in the absence of neuregulin (control conditioned medium). Include among the medium samples, media containing neuregulin that have not been conditioned by the first cell type cultures (non-conditioned medium).
  - 5. Maintain the second cell type cultures as described in step 4 for varying periods of time preferably greater than 1 day and less than 7 days. Assess various aspects of

neuronal phenotype such as, but not limited to cell survival, neurite (axon or dendrite) outgrowth, neurotransmitter phenotype, etc.

6. Assess the effects of neurogulin. Neurogulin is trophic for neuronally-associated tissues in a manner that promotes the production of neurotrophic products if:

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- a. Medium conditioned by the first cell type cultures in the presence of neuregulin maintains or increases desired aspects of neuronal phenotype such as, but not limited to cell survival, increased neurite (axon or dendrite) outgrowth, neurotransmitter synthesis, etc.;
- b. equal volumes of control conditioned medium lack the activity described in criterion (a.), or demonstrate lesser degrees of the activity described in criterion (a.);
  - c. equal volumes of non-conditioned medium lack the activity described in criterion (a.), or demonstrate lesser degrees of the activity described in criterion (a.).

If Product A is not secreted, but is bound to the surface of the first cell type, or is bound to insoluble extracellular matrix associated with the first cell type, an alternative procedure is to be used:

#### Method IV -

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The use of separate cultures of neurons and neuronally associated tissues, to demonstrate that neuronally induces a neuronally associated tissue (the first cell type) to produce a substance on its surface that is trophic for neurons.

- 1. Establish neuron-free cultures of neuronally-associated cell types (e.g. glia, fibroblasts). These cultures are enriched for a single cell type (the first cell type) such that preferably greater than 90% of the cells can be demonstrated to be the same cell type through the use of immunocytochemical and/or enzymatic markers (e.g. S-100 for peripheral glia, fibronectin for fibroblasts).
- 2. Expose the first cell type cultures to varying doses of neuregulin for varying periods of time, preferably greater than 1 hour and less than 7 days. At the end of the culture period, remove the culture medium and establish a co-culture of the first cell type and neurons (the second cell type) as follows. Rinse the first cell type cultures 3 times with fresh culture medium lacking neuregulin so as to rinse away residual neuregulin. Add back a suspension of neurons from the neuronal tissue of interest (e.g. superior cervical ganglion, spinal motor column) in fresh medium lacking neuregulin. The suspension is enriched for the second cell type such that preferably greater than 90% of the cells can be demonstrated to be the same cell type through the use of immunocytochemical and/or enzymatic markers (e.g. tubulin β3 for all neurons, choline acetyltransferase for cholinergic neurons).
- 25 3. In parallel to step 2, plate the same suspension of the second cell type cells on the first cell type cultures that have not been treated with Product A (control co-cultures).
- 4. Maintain the first cell type/second cell type co-cultures for varying periods of time preferably greater than 1 day and less than 7 days. Assess various aspects of neuronal phenotype such as, but not limited to cell survival, neurite (axon or dendrite) outgrowth, neurotransmitter phenotype, etc.
- 5. Assess the effects of neuregulin. Neuregulin is trophic for the first cell type in a manner that promotes the production of products trophic for the second cell type if:
  - a. The first cell type cultures pre-treated with neuregulin maintain or increase desired aspects of neuronal phenotype such as, but not limited to cell survival, neurite (axon or dendrite) outgrowth, neurotransmitter phenotype, etc.;

and

b. The first cell type cultures that have not been pre-treated with Product A lack the activity described in criterion (a.), or demonstrate lesser degrees of the activity described in criterion (a.).

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If cultures of non-neuronal cells of interest greater than 90% pure have not been established, the following method can be used:

#### Method V -

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The use of a mixed culture, to demonstrate that neuregulins induce the first cell type (neuronally associated cell types) to produce a product (Product A) that affects the second cell type.

- 1. Establish undissociated, explant cultures of the neuronal tissue of interest (e.g. superior cervical ganglion, spinal motor column). These cultures are not enriched for various cell types and are constituted of both neurons (the second cell type) and neuronally-associated cell types (the first cell type) as demonstrated through the use of immunocytochemical and/or enzymatic markers (e.g. tubulin  $\beta$ 3 for all neurons, acetylcholinesterase for cholinergic neurons, S-100 for peripheral glia, fibronectin for fibroblasts).
- 2. Expose explant cultures to varying doses of neuregulin for varying periods of time, preferably greater than 1 hour and less than 7 days. At the end of the culture period, assess various aspects of neuronal phenotype such as, but not limited to neuron survival, neurite (axon or dendrite) outgrowth, neurotransmitter phenotype, etc.
- 3. Establish cultures of neurons from the neuronal tissue of interest (e.g. superior cervical ganglion, spinal motor column). These cultures are enriched for neurons (the second cell type) such that preferably greater than 90% of the cells can be demonstrated to be the same cell type through the use of immunocytochemical and/or enzymatic markers (e.g. tubulin β3 for all neurons, choline acetyltransferase for cholinergic neurons).
  - 4. Expose the second cell type cultures to varying doses of neuregulin for varying periods of time, preferably greater than 1 hour and less than 7 days. At the end of the culture period, assess various aspects of neuronal phenotype such as, but not limited to neuron survival, neurite (axon or dendrite) outgrowth, neurotransmitter phenotype, etc.
  - 5. Assess the effects of neuregulin. Neuregulin is trophic for neuronally-associated tissues in a manner that promotes the production of neurotrophic products if:
- a. in explant cultures the presence of neuregulin maintains or increases desired aspects of neuronal phenotype such as, but not limited to neuron survival, neurite (axon or dendrite) outgrowth, neurotransmitter synthesis, etc.;

and

b. in the second cell type cultures, neuregulin lacks the activity described in criterion (a.), or demonstrates lesser degrees of the activity described in criterion (a.)

#### **EXAMPLES**

## Example 1

The Effect of Recombinant Human Glial Growth Factor 2 on Sympathetic Ganglion Outgrowth in an *In Vitro* Model of Peripheral Nerve Gap Entubulation

# Purpose

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One approach to the repair of injuries in which a peripheral nerve has been severed is to suture the nerve endings together via a biocompatible tube, a procedure referred to as entubulation. The tube may be filled with various agents thought to improve the growth and regeneration of the nerve. Peripheral nerves contain a variety of cell types: neurons (or more appropriately, the axons emanating from neuron cell bodies located in the spinal cord and associated ganglia), Schwann cells (peripheral glia), fibroblasts, and resident macrophages. Axons regenerate from the side of the nerve gap proximal to the spinal cord and associated ganglia; other cell types contribute to regeneration by migrating in from both sides of the gap and proliferating.

In an effort to devise an *in vitro* model of entubulation, a technique was developed in which fragments of the rat superior cervical ganglion (SCG) are cultured in segments of surgical tubing used in whole animal models of peripheral nerve entubulation. SCG neurons are homogenous in their trophic requirements and project axons exclusively through peripheral nerves; SCG fragments also contain Schwann cells, fibroblasts, and macrophages. In this model the SCG fragments serve as surrogate proximal nerve endings, and the outgrowth of axons and supporting cell types can be observed in a simplified environment. The focus of this example was to examine the effects of rhGGF2 on Schwann cell and axon behavior in this *in vitro* model of peripheral nerve entubulation.

**Methods and Materials** 

#### Tube Preparation

Tubing used for this study was polyethylene tubing with an internal diameter of 1.19 mm and outer diameter of 1.70 mm (Intramedic®: Becton Dickinson and Company; Parsippany, New Jersey). A length of tubing somewhat longer than actually needed was cut in a sterile tissue culture hood, immersed in 70% ethanol, and flushed repeatedly with 70% ethanol using a syringe with a 19-gauge needle. After soaking the

tubing for approximately 30 minutes, it was flushed again with air, and allowed to dry in the hood. After drying, the tubing was cut into 10 mm segments with a sterile scalpel, and stored in a sterile Petri dish.

#### 5 Culture Medium

Culture medium was made freshly on the day of culture assembly. All components were kept cold (either 4° C or on ice), as was the final solution until culture assembly was completed.

10	Sterile Water	2.60
	Sodium bicarbonate (2% w/v)	1.50
	Penicillin/Streptomycin stock*	0.15
	L-Glutamine (200 mM)	0.15
	Fetal Bovine Serum**	0.75
15	Sodium hydroxide (0.1 M)	0.90
	10x Medium***	1.50
	Collagen solution****	<u>7.40</u>

TOTAL 15.00 ml

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Medium was used as is, or was supplemented with rhGGF2 as indicated.

<sup>\* 5000</sup> units/ml penicillin, 5 mg/ml streptomycin

<sup>\*\*</sup> heat inactivated (Hyclone; Logan, UT)

<sup>\*\*\*</sup> one packet of low glucose Dulbecco's Modified Essential Medium (DMEM: Gibco/BRL; Grand Island, NY) meant to make 1 liter of medium dissolved in 100 ml of sterile water

<sup>\*\*\*\* 3</sup> mg/ml Vitrogen-100® (Celtrix Pharmaceuticals; Santa Clara, CA)

# Tube Culture Assembly

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A schematic diagram of culture assembly is shown in Figure 1. SCGs were dissected from postnatal day 0-2 rats on the day of assembly, cleaned of connective tissues and proximal nerve stumps, bisected, and stored in physiological saline at 4° till needed. Since the collagen-containing medium gels at room temperature or higher, it is necessary to assemble the cultures in 4° cold room. Working with watchmaker's forceps under a dissection microscope at total magnification of 8x, individual segments of cleaned tubing were picked up and filled with culture medium using a syringe with a 27-gauge needle. A single piece of bisected SCG was then placed at the very end of each tube, and each tube placed in an individual well of a 24-well tissue culture plate. Only the central eight wells of a 24-well plate were used for tube placement, and the remaining wells were filled with sterile water to maintain plate humidity. The plate was then placed in a 37°, 10% carbon dioxide incubator. After allowing the cultures to gel and equilibrate with the incubator atmosphere, the plates were sealed with paraffin film to further protect the culture assemblies from dehydration, and returned to the incubator until preparation for immunocytochemistry and analysis.

## *Immunocytochemistry*

After 2, 5, and 10 days in vitro, the contents of individual tube cultures were extruded into phosphate buffered saline (PBS) using a PBS-filled syringe with a bluntended 18-gauge needle. The collagen gels retained structural integrity and were fixed in 4% paraformaldehyde in PBS for 30 minutes at room temperature. After 3 washes with PBS, the cultures were blocked in 1% goat serum/0.1% Triton X-100 in PBS for 30 minutes. After blocking, the solution was changed to 1% goat serum in PBS (GPBS) containing 1:4 rabbit anti-S-100 (a Schwann cell marker; Incstar; Stillwater, MN) and 1:400 mouse anti-tubulin &3 (an axon marker; Sigma; St. Louis, MO). After incubating the samples in primary antibody for 1 hour at room temperature, they were washed 3 times with PBS, and incubated for an additional hour in GPBS containing 1:200 peroxidase-conjugated goat anti-mouse immunoglobulin, and 1:200 alkaline phosphatase-conjugated goat anti-rabbit immunoglobulin (Pierce Chemical; Rockford, IL). The samples were then washed 3 times with PBS, and the stains developed. The S-100 was first developed using stable pre-mixed NBT/BCIP (Gibco/BRL) to yield a blue stain, and after rinsing with PBS, the tubulin  $\beta 3$  was developed using 3-amino-9ethylcarbazole (AEC; Sigma) per manufacturer's directions. After final rinsing with PBS, the samples were mounted on microscope slides using aqueous mounting medium. After the mounting medium dried, the cellular outgrowth could be analyzed.

Scoring and Analysis

As schematized in Figure 2, a grid reticule was placed in the microscope ocular, and at a total magnification of 160x, the total number of S-100<sup>+</sup> Schwann cells in each column (referred to as "bins") was counted. Each bin has a width of 50 mm as determined using a stage micrometer. And as noted, the number of tubulin  $\beta$ 3<sup>+</sup> neurites intersecting every vertical line was also counted. The actual grid was not large enough to cover the entire length of cellular outgrowth and was shifted along as needed by translational movement of the microscope stage. All data points represent the average  $\pm$  the standard error of the mean (n = 6 to 7 for every data point).

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#### **Results**

First shown is the analysis of Schwann cell number as a function of distance from the SCG explant (Figure 3A-D). It is clear that the presence of rhGGF2 affects the behavior of Schwann cells relative to the control condition. There does not appear to be any difference among the 3 doses of rhGGF2. Generally, by 5 days in rhGGF2, there is a large increase in the number of Schwann cells proximal to the explant, but the Schwann cells appear to have moved only about as far as they have in the control case (somewhat further at the highest dose). By 10 days in rhGGF2 the overall number of Schwann cells has decreased, but the cells still present have definitely migrated farther than in the absence of rhGGF2. In the absence of rhGGF2, the controls look no different between days 5 and 10. The total number of Schwann cells in the various conditions is shown in Figure 4. Again, there is a decrease in cell number at day 10, but there is no obvious difference between the different doses of rhGGF2. The day 10 tubes contain more debris, and this is probably due to cell death. This is due to the culture situation since 10 days appears to be the longest that one can maintain these tube cultures without overt signs of dehydration and nutrient depletion in the limited volume of culture medium (approximately 10 µl per tube).

A difference is apparent when neurites are scored in the various doses of rhGGF2 (Figure 5). At doses of rhGGF2 greater than or equal to 50 ng/ml, a profound increase takes place in the number of neurites and the extent to which they have grown away from the explant.

#### **Discussion and Conclusions**

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This study demonstrates that the dose range in which there are observable effects on Schwann cell proliferation and emigration from the explant is different from that which causes a major increase in neurite outgrowth. In the case of the former, it

appears that the effect has plateaued at the lowest dose tested, 5 ng/ml. As for the requirement of ≥50 ng/ml rhGGF2 to boost neurite regeneration, there are two possible mechanisms to account for this. One is that rhGGF2 is acting directly upon the neurons, and the other is that rhGGF2 induces a non-neuronal cell type to produce a neurite promoting factor (e.g. NGF, secreted extracellular matrix proteins, proteases, and/or protease inhibitors). As is demonstrated in Example 2, the first hypothesis is not likely since rhGGF2 has no effect upon neuronal survival or outgrowth in low density cultures of dissociated SCG neurons. This lack of a direct effect on neurons implies that the rhGGF2 promotion of neurite outgrowth is due to rhGGF2 induced production of neurite promoting factors by non-neuronal cells.

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# Example 2

The Promotion of Axon Outgrowth by Recombinant Human Glial Growth Factor 2 is Not Due to a Direct Effect on Neurons

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#### **Purpose**

As demonstrated in Example 1, rhGGF2 not only promotes Schwann cell proliferation and migration in an *in vitro* model of peripheral nerve entubulation, but also promotes robust axonal outgrowth. To test whether this may be due to direct effects of rhGGF2 on SCG neurons, low density cultures of dissociated SCG neurons were established in which the effects of rhGGF2 could be examined. SCG neurons are normally dependent upon nerve growth factor (NGF) for survival, so rhGGF2 was tested for direct neuronal effects in the simultaneous presence of a wide range of NGF concentrations.

## **Methods and Materials**

Cell Culture

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SCGs were dissected from postnatal day 0-2 rats, cleaned of connective tissue and proximal nerve stumps, and dissociated by enzymatic digestion and trituration. Enzymatic digestion was performed using 1 mg/ml trypsin (Sigma; St. Louis, MO) and 1 mg/ml collagenase (Boehringer-Mannheim; Indianapolis, IN) in calcium- and magnesium-free Hanks's Balanced Salt Solution (HBSS; Gibco/BRL; Grand Island, NY), for 1 hour at 37° C. Trituration was performed using a flame-polished Pasteur pipet. Dissociated neurons were taken up in plating medium and pre-plated in tissue culture dishes for 1 hour to remove the majority of the rapidly adherent, non-neuronal cells. Plating medium consisted of low glucose DMEM (Gibco/BRL) supplemented with glutamine, penicillin/streptomycin, and fetal bovine serum to the same concentrations as described in Example 1. Non-adherent cells (primarily neurons), were pelleted by centrifugation and resuspended in plating medium. These cells were finally plated at a density of 5000 cells per well in collagen-coated, 24-well plates such that the cells were exposed to a 2-dimensional dose-response matrix of NGF and rhGGF2 (Figure 6A). Plates were set up in duplicate on 2 different dates; at the completion of both experiments N = 4 for each of the 24 conditions. The cultures were only allowed to progress for 2 days since this is a time frame in which any

contaminating Schwann cells could have only undergone a single doubling, and sufficient for ascertaining whether the factors have promoted neuronal survival.

Staining and Scoring of the Cultures

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After 2 days, the cultures were fixed and stained for tubulin  $\beta 3$  as described in Example 1. The tubulin  $\beta 3$ -positive, neurite-bearing cells were counted in each well at a total magnification of 100x. Due to meniscus effects, and incubator vibration during the initial plating period, cells tend to preferentially concentrate in the center of the well. Thus in order to get a reasonably representative count of cell number, 5 fields per well were counted: the center most field and four flanking fields (Figure 6B). This manner of counting was used on all wells and is sufficiently consistent for the purpose of comparing the effects of different growth factor concentrations and combinations. The number of cells counted in every well was normalized such that the average number counted in the wells that received 0 ng/ml rhGGF2, and 100 ng/ml NGF equals a value of 100.

#### **Results**

It is clear from the results presented in Figure 7, that rhGGF2 has no direct effect on the survival of SCG neurons. All surviving neurons exhibited robust axon outgrowth, and there was no noticeable effect on the extent of axon outgrowth. As expected in the absence of rhGGF2, the number of neurons reaches a plateau at 10 ng/ml. The presence or absence of rhGGF2 appears to make no difference at the 3 doses tested.

## **Discussion and Conclusions**

In light of the results presented in Example 1, it was necessary to examine whether the effect of rhGGF2 on axon outgrowth could be attributed to a direct effect of rhGGF2 on the neurons in question. The results of Example 2 make it clear that this is not the case. Thus one must conclude that the effect of rhGGF2 on axon outgrowth observed in the tube paradigm is due to a "bystander effect" rather than a direct action on the neurons. Thus rhGGF2 can promote the healing response of injured neurons by inducing the production of neurite promoting factors by non-neuronal support cells.

## Example 3

# Increase in myelinated axon growth in an animal model of peripheral nerve injury mediated by a neuregulin

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An animal model of peripheral nerve repair was used to test the ability of a neuregulin (rhGGF2) to increase the number of regenerating axons. The rationale is that added rhGGF2 will induce increases in Schwann cell (the first cell type) numbers as well as increases in the levels of trophic factors (Product A) produced by Schwann cells that, in turn, will affect a second cell type, the regenerating axons (the second cell type) as measured by increases in the number of myelinated axons (response).

Fisher 344 rats (male, 195-250g) were surgically prepared and one sciatic nerve was transected resulting in a 10mm gap. Polyethylene guide tubes (13mm in length, 1.1mm internal diameter) were prepared. These tubes contained a flat sliver of a collagen coated Immobilon filter (1.0x10mm) containing immobilized rhGGF2 and were prepared as described in U.S. Patent Application Serial No. 08/293,465, filed on August 19, 1994, hereby incorporated by reference (Immobilon: Millipore, Corp., Bedford, MA). The strips were inserted into the lumen of the guide tubes. rhGGF2 was used at a concentration of  $162 \mu g/\mu L$  (in phosphate buffered saline), 2.5  $\mu L$  of this solution was added per strip. Control tubes were prepared containing collagen coated Immobilon strips treated with phosphate buffered saline alone. Tubes were secured with a single suture at the proximal and distal ends after filling the lumen with physiological saline and sealing the ends with vaseline.

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Animals (10 rhGGF2 treated, 10 controls) were sacrificed at 28 days and the section of sciatic nerve containing the tube was excised, the nerve was removed from the tube and a cross section was taken from the mid point of the tube and prepared for histological analysis. The material was fixed in 4% paraformaldehyde and 2% glutaraldehyde for 24h and then post fixed in 2% osmium tetroxide and embedded in glycomethacrylate. One micron cross sections were taken and stained with  $1\mu M$  toluidine blue.

A histological analysis of a section from the mid point of the tube was performed and measurements were made of the total number of myelinated axons in a section and the total endoneurial area in each section. The data are shown in Figures 8A and 8B.

The rhGGF2 treated animals showed a 2.1 fold increase in the number of myelinated axons over the control animals.

The results of this study demonstrate a positive effect of exogenously added rhGGF2 on the growth of myelinated axons. In consideration of the data discussed in Example 1 where rhGGF2 acts on Schwann cells to induce the synthesis of products that are trophic for regenerating axons in an *in vitro* paradigm it is concluded that a similar mechanism is responsible for the rhGGF2 mediated enhancement of the growth of axons *in vivo*.

#### Claims:

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1. A method of affecting cellular communication in a vertebrate, comprising administration of a neuregulin to said vertebrate wherein said neuregulin interacts with a first cell type, resulting in production of a Product A of said first cell type and said Product A affects a function of a second cell type.

- A method of Claim 1, wherein, said affect in function of said second cell type results
   in the production of a Product B of said second cell type and said Product B affects the function of said first cell type or a third cell type.
  - 3. A method of affecting cellular communication in a vertebrate, comprising administration of a neuregulin-producing cell to said vertebrate wherein a neuregulin is produced and said neuregulin interacts with a first cell type, resulting in production of a Product A of said first cell type and said Product A affects a function of a second cell type.
- 4. A method of Claim 3, wherein, said affect in function of said second cell type results
   in the production of a Product B of said second cell type and said Product B affects the function of said first cell type or a third cell type.
  - 5. A method of affecting cellular communication in a vertebrate, comprising administration of DNA encoding a neuregulin to said vertebrate wherein DNA is incorporated into a genome of a cell and said DNA is expressed in said cell resulting in the production of said neuregulin which interacts with a first cell type, resulting in production of a Product A of said first cell type and said Product A affects a function of a second cell type.
- 30 6. A method of Claim 5, wherein, said affect in function of said second cell type results in the production of a Product B of said second cell type and said Product B affects the function of said first cell type or a third cell type.
  - 7. A method of Claim 1 wherein said vertebrate is a human.
  - 8. A method of Claim 1 wherein said first cell type is a nervous system support cell.
  - 9. A method of Claim 8 wherein said nervous system support cell is a Schwann cell.

- 10. A method of Claim 1 wherein said first cell type is a neuron.
- 11. A method of Claim 1 wherein said first cell type is a muscle cell.

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- 12. A method of Claim 1 wherein said Product A is a neurotrophic agent.
- 13. A method of Claim 1 wherein said Product A is a matrix molecule.
- 10 14. A method of Claim 1 wherein said Product A is a protease.
  - 15. A method of Claim 1 wherein said Product A is a protease inhibitor.
    - 16. A method of Claim 1 wherein said second cell type is a nervous system cell.

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- 17. A method of Claim 1 wherein said second cell type is a muscle cell.
- 18. A method of Claim 1 wherein said affect in function of said second cell type is differentiation.

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- 19. A method of Claim 1 wherein said affect in function of said second cell type is mitosis.
- 20. A method of Claim 1 wherein said affect in function of said second cell type is survival.
  - 21. A method of Claim 2 wherein said Product B is a neurotrophic agent.
  - 22. A method of Claim 2 wherein said Product B is a matrix molecule.

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- 23. A method of Claim 2 wherein said Product B is a protease.
- 24. A method of Claim 2 wherein said Product B is a protease inhibitor
- 35 25. A method of Claim 2 wherein said Product B is a neuregulin.
  - 26. A method of Claim 25 wherein said neuregulin is rhGGF2.

27. A method of Claim 2 wherein said affect in function of said first cell type or said third cell type is differentiation.

- 28. A method of Claim 2 wherein said affect in function of said first cell type or said third cell type is mitosis.
  - 29. A method of Claim 2 wherein said affect in function of said first cell type or said third cell type is survival.
- 10 30. A method of Claim 2 wherein said third cell type is a nervous system cell.
  - 31. A method of Claim 2 wherein said third cell type is a muscle cell.
- 32. A method of treating a neurological disorder in a mammal, comprising administration of a therapeutically effective amount of a neurogulin to said mammal wherein said neurogulin interacts with a nervous system cell, resulting in the production of a neurotrophic agent which affects the function of a neuron cell type.
- 33. A method of treating a neurological disorder in a mammal, comprising administration of a neurogulin producing cell to said mammal wherein said produced neurogulin interacts with a nervous system cell, resulting in the production of a neurotrophic product which affects the function of a neuron cell type.
- 34. A method of treating a neurological disorder in a mammal, comprising administration of DNA encoding a neurogulin to said mammal wherein said neurogulin is produced and interacts with a nervous system cell, resulting in the production of a neurotrophic agent which affects the function of a neuron cell type.
- 35. A method of treating peripheral neuropathy, amyotrophic lateral sclerosis, spinal muscular atrophy, nerve injury, Alzheimer's Disease, Parkinson's Disease and spinal cord injury comprising the administration of a therapeutically effective amount of a neuregulin wherein said neuregulin interacts with a first cell type, resulting in the production of a Product A of the first cell type and said Product A affects a function of a second cell type.

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36. A method of inducing the endogenous production of a product by a cell in a vertebrate comprising administration of a neuregulin to said vertebrate, wherein said cell produces said product.

37. A method of claim 36 wherein said product is a neurotrophic agent.

Figure 1

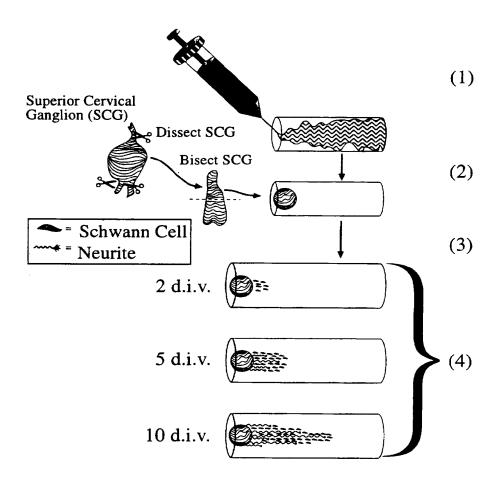


Figure 2

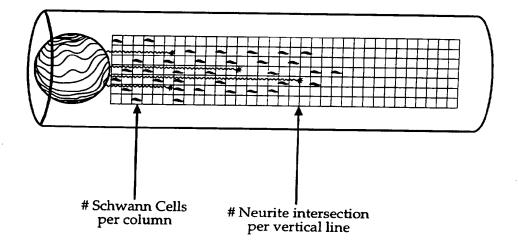


Figure 3A

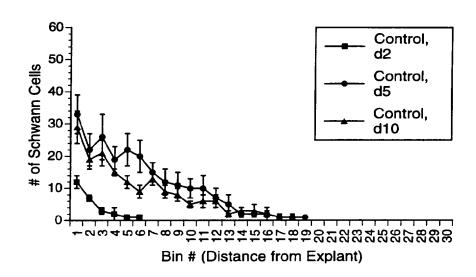


Figure 3B

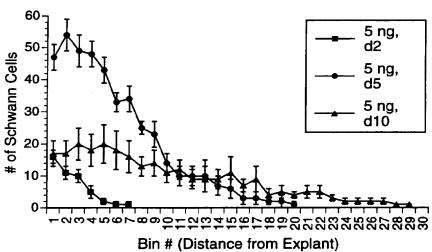
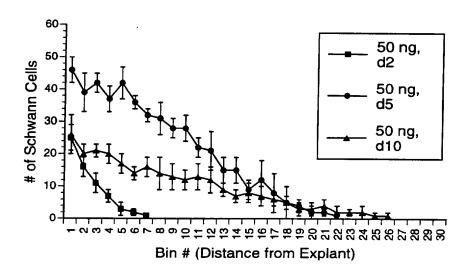


Figure 3C



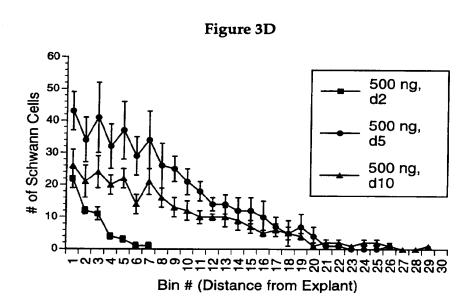


Figure 4

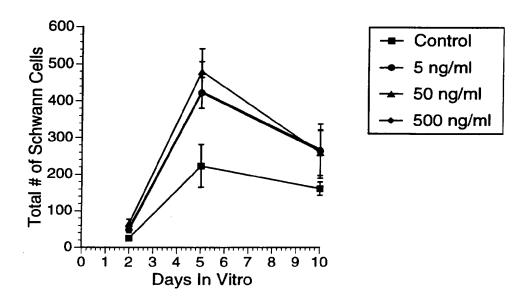


Figure 5

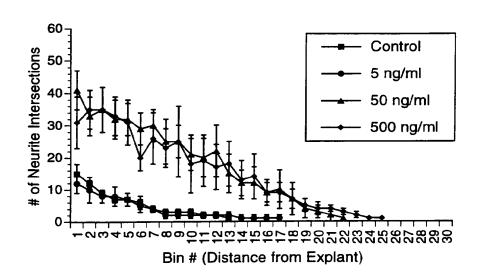


Figure 6A

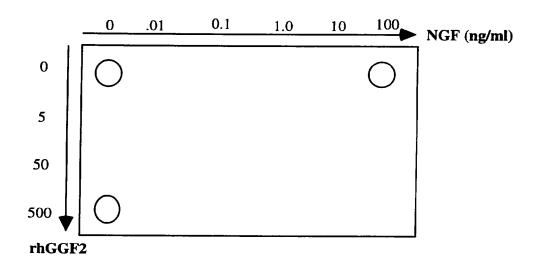


Figure 6B

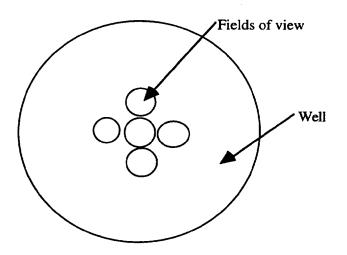


Figure 7

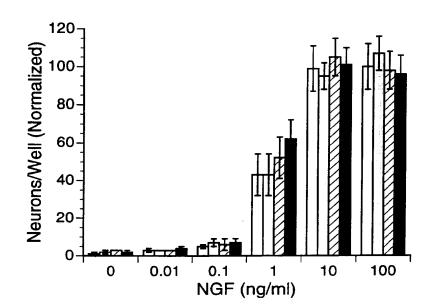




Figure 8A

	Total Myelinated Axons	Total Endoneurial Area	Axon Density
GGF group (n=9)			
GGF2 mean	741	165345(μM²)	4143(per mm <sup>2</sup> )
GGF2 std. error	200	14809	951
Control group (n=10)			
Control mean	355	130931(μM²)	2938(per mm <sup>2</sup> )
Control std. error	76	14917	722

Figure 8B

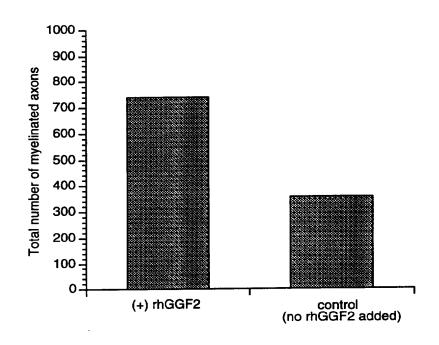
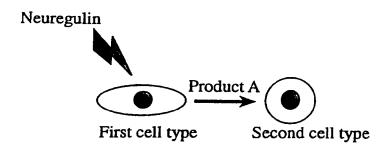
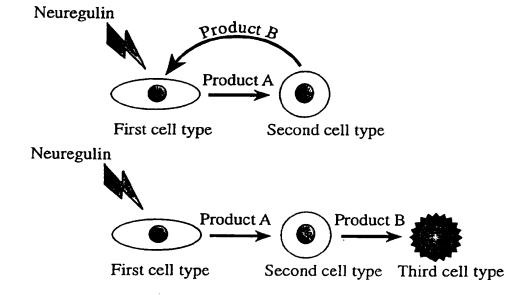
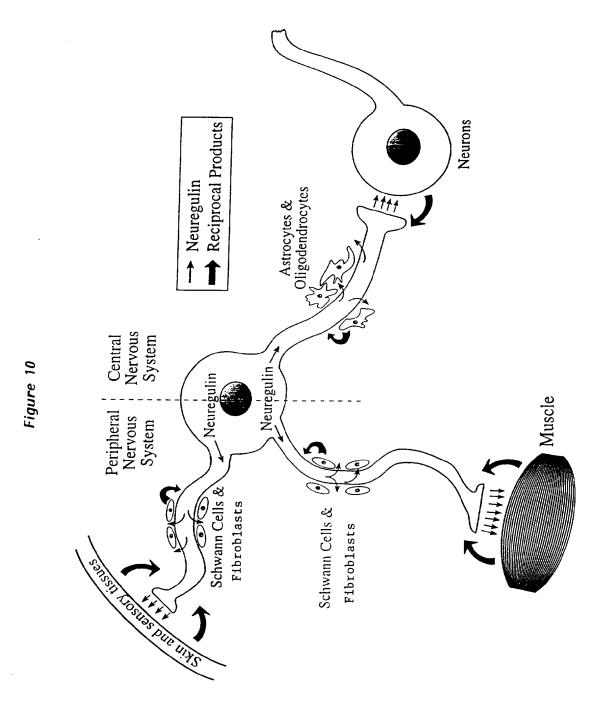


Figure 9







11/52

# Figure 11 A

CCT	'GCAG	CAT His	CAA Glr	GTO Val	TGG Trp	GCG Ala	GCG Ala	AAA Lys	GCC Ala	GG(	GG( Gly 1(	/ Leu	AAC Lys	AAG Lys	GAC Asp	TCG Ser 15	CTG Leu	55
CTC Leu	ACC Thr	GTG Val	G CGC Arg 20	CTG Leu	GGC Gly	GCC Ala	TGG	GGC Gly 25	CAC His	CCC Pro	GCC Ala	TTC Phe	CCC Pro 30	TCC Ser	TGC Cys			103
GGG Gly	CGC Arg	CTC Leu 35	Lys	GAG Glu	GAC Asp	AGC Ser	AGG Arg 40	TAC Tyr	ATC Ile	TTC Phe	TTC Phe	ATG Met	GAG Glu	CCC Pro	GAG Glu			151
GCC Ala	AAC Asn 50	AGC Ser	AGC Ser	GGC Gly	GGG	CCC Pro 55	GGC	CGC <b>A</b> rg	CTT Leu	CCG Pro	AGC Ser 60	CTC	CTT Leu	CCC Pro	CCC Pro			199
TCT Ser 65	CGA Arg	GAC Asp	GGG Gly	CCG Pro	GAA Glu 70	CCT Pro	CAA Gln	GAA Glu	GGA Gly	GGT Gly 75	CAG Gln	CCG Pro	GGT Gly	GCT Ala	GTG Val 80			247
CAA Gln	CGG Arg	TGC Cys	GCC Ala	TTG Leu 85	CCT Pro	CCC Pro	CGC Arg	TTG Leu	AAA Lys 90	GAG Glu	ATG Met	AAG Lys	AGT Ser	CAG Gln 95	GAG Glu			295
TCT Ser	GTG Val	GCA Ala	GGT Gly 100	TCC Ser	AAA Lys	CTA Leu	Val	CTT Leu 105	CGG Arg	TGC Cys	GAG Glu	ACC Thr	AGT Ser L10	TCT Ser	GAA Glu		٠	343
TAC Tyr	TCC Ser	TCT Ser 115	CTC Leu	AAG Lys	TTC Phe	AAG Lys	TGG Trp 120	TTC Phe	AAG Lys	AAT <u>Asn</u>	GGG Gly	AGT Ser 125	GAA Glu	TTA Leu	AGC Ser			391
Arg	AAG Lys 130	AAC Asn	AAA Lys	CCA Pro	GAA Glu	AAC Asn 135	ATC Ile	AAG Lys	ATA Ile	CAG Gln	AAA Lys 140	AGG Arg	CCG Pro	GGG Gly	AAG Lys			439
TCA Ser 145	GAA Glu	CTT Leu	CGC Arg	ATT Ile	AGC Ser 150	AAA Lys	GCG Ala	TCA Ser	CTG Leu	GCT Ala 155	GAT Asp	TCT Ser	GGA Gly	Glu	TAT Tyr 160			487
ATG Met	TGC Cys	AAA Lys	GTG Val	ATC Ile 165	AGC Ser	AAA Lys	CTA Leu	Gly	AAT <u>Asn</u> 170	GAC Asp	AGT Ser	GCC Ala	Ser	GCC Ala 175	AAC Asn			535
ATC Ile	ACC Thr	ATT Ile	GTG Val 180	GAG Glu	TCA Ser	AAC Asn	Gly	AAG Lys 185	AGA Arg	TGC Cys	CTA Leu	CTG Leu	CGT Arg 190	GCT . Ala	ATT Ile			583
TCT Ser	Gln	TCT Ser 195	CTA Leu	AGA Arg	GGA Gly	Val	ATC Ile 200	AAG Lys	GTA Val	TGT Cys	GGT Gly	CAC His 205	ACT Thr					625
TGAA'	TCAC	GC A	GGTG	TGTG	A AA	TCTC	ATTG	TGA	ACA <u>A</u>	ATA	<u> </u>	TCAT	GA A	AGGA	AAAA			685
AAAA	AAAA	AA A	ATCG.	ATGT	C GA	CTCG.	AGAT	GTG	GCTG	CAG	GTCG	ACTC	TA G	AGGA!	rccc			744

# Figure 11 B

CCTGCAG								GAC Asp		55
CTC ACC Leu Thr										103
GGG CGC Gly Arg										151
GCC AAC Ala <u>Lys</u> 50										199
TCT CGA Ser Arg 65										247
CAA CGG Gln Arg										295
TCT GTG Ser Val			Val			Thr				343
TAC TCC Tyr Ser										391
CGA AAG Arg Lys 130										439
TCA GAA Ser Glu 145										487
ATG TGC Met Cys										535

# Figure 11 B'

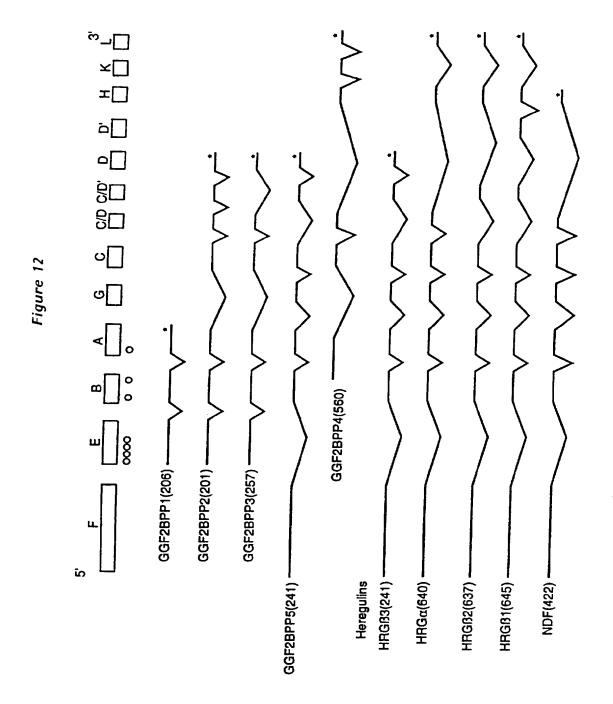
ATC Ile	ACC Thr	ATT Ile	GTG Val 180	GAG Glu	TCA Ser	AAC Asn	GCC Ala	ACA Thr 185	TCC Ser	ACA Thr	TCT Ser	ACA Thr	GCT Ala 190	GGG Gly	ACA Thr	583
AGC Ser	CAT His	CTT Leu 195	GTC Val	AAG Lys	TGT Ser	GCA Ala	GAG Glu 200	AAG Lys	GAG Glu	AAA Lys	ACT Thr	TTC Phe 205	TGT Cys	GTG Val	AAT Asn	631
GGA Gly	GGC Gly 210	GAG Glu	TGC Cys	TTC Phe	ATG Met	GTG Val 215	AAA Lys	GAC Asp	CTT Leu	TCA Ser	AAT Asn 220	CCC Pro	TCA Ser	AGA Arg	TAC Tyr	679
TTG Leu 225	TGC Cys	AAG Lys	TGC Cys	CAA Gln	CCT Pro 230	GGA Gly	TTC Phe	ACT Thr	GGA Gly	GCG Ala 235	AGA Arg	TGT Cys	ACT Thr	GAG Glu	AAT Asn 240	727
GTG Val	CCC Pro	ATG Met	AAA Lys	GTC Val 245	CAA Gln	ACC Thr	CAA Gln	GAA Glu	AGT Ser 250	GCC Ala	CAA Gln	ATG Met	AGT Ser	TTA Leu 255	CTG Leu	775
			GCC Ala 260				TAAT	GGCC	AG C	TTCT	ACAG	T AC	GTCC	CACTO	2	826
CCTI	TCT	TC 1	CTGC	CTG	A TA	GCGC	ATCI	CAG	TCGG	TGC	CGCI	TTCI	TG I	TGCC	CGCATC	886
TCCC	CTC	AGA I	TCCI	CCTA	G AG	CTAC	ATGO	GT1	TTAC	CAG	GTCT	AACA	TT G	ACTO	CCTCT	946
GCCI	GTC	CA 7	'GAGA	ACAI	T AA	CACA	AGCG	ATT	GTAT	GAC	TTCC	TCTC	TC C	GTGA	CTAGT	1006
GGGC	TCT	GAG C	TACI	CGTA	G GI	GCGI	' <b>AAG</b> G	CTC	CAGI	GTT	TCTG	PAAA	TG A	TCTI	GAATT	1066
ACTO	TGAT	CAC C	ACAI	GATA	G TO	CCTC	TCAC	CCF	GTGC	TAA:	GAC <u>A</u>	ATAA	AG G	CCTI	GAAAA	1126
GTC#	AAAA	AA A	AAAA	AAAA	AA AA	AAAA	TCGA	TGT	CGAC	TCG	AGAT	GTGG	CT C	CAGO	TCGAC	1186
TCTA	GAG															1193

# Figure 11 C

CCT	GCAG	_								GAC Asp		55
	ACC Thr					 	 		 			103
_	CGC Arg											151
_	AAC Asn 50											199
	CGA Arg											247
	CGG Arg											295
	GTG Val				Val			Thr				343
	TCC Ser											391
	AAG Lys 130											439
	GAA Glu								Glu			487

# Figure 11 C'

									AAT Asn 170						AAC Asn	535
															ACA Thr	583
									GAG Glu							631
									CTT Leu							679
									GGT Gly							727
									ACT Thr 250							775
GAA Glu	TAGO	GCAT	CT C	AGTC	GGTG	C CG	CTTI	CTTG	TTG	CCGC	ATC	TCCC	CTCA	GA I	TCCGCCTAG	838
AGCI	'AGA'I	GC G	TTTT	'ACCA	G GI	'CTAA	CATI	' GAC	TGCC	TCT	GCCT	GTCG	CA I	GAGA	ACATT	898
AACA	CAAG	CG A	TTGT	'ATGA	C TI	CCTC	TGTC	CGI	GACT	'AGT	GGGC	TCTG	AG C	TACT	CGTAG	958
GTGC	GTAA	.GG C	TCCA	GTGT	T TC	TGAA	ATTG	ATC	TTGA	ATT	ACTG	TGAT	AC G	ACAT	GATAG	1018
TCCC	TCTC	AC C	CAGT	GCAA	T GA	C <u>AAT</u>	<u>AAA</u> G	GCC	TTGA	AAA	GTCA	AAAA	AA A	AAAA	AAAA	1078
AAAA	ATCG	AT G	TCGA	CTCG	A GA	TGTG	GCTG									1108



# Figure 13 A

CODING SEGMENT F:	
AGTTTCCCCC CCCAACTTGT CGGAACTCTG GGCTCGCGCG CAGGGCAGGA GCGGAGCGGC	60
GGCGGCTGCC CAGGCGATGC GAGCGCGGGC CGGACGGTAA TCGCCTCTCC CTCCTCGGGC	120
TGCGAGCGCG CCGGACCGAG GCAGCGACAG GAGCGGACCG CGGCGGGAAC CGAGGACTCC	180
CCAGCGGCGC GCCAGCAGGA GCCACCCCGC GAGNCGTGCG ACCGGGACGG AGCGCCCGCC	240
AGTCCCAGGT GGCCCGGACC GCACGTTGCG TCCCCGCGGCT CCCCGCCGGC GACAGGAGAC	300
GCTCCCCCC ACGCCGCGC CGCCTCGGCC CGGTCGCTGG CCCGCCTCCA CTCCGGGGAC	360
AAACTTTTCC CGAAGCCGAT CCCAGCCCTC GGACCCAAAC TTGTCGCGCG TCGCCTTCGC	420
CGGGAGCCGT CCGCGCAGAG CGTGCACTTC TCGGGCGAG ATG TCG GAG CGC AGA	474
Glu Gly Lys Gly Lys Gly Lys Gly Gly Lys Lys Asp Arg Gly Ser Gly GAA GGC AAA GGC AAG GGC AGC GGC AAG AAG	522
Lys Lys Pro Val Pro Ala Ala Gly Gly Pro Ser Pro Ala AAG AAG CCC GTG CCC GCG GCT GGC CCG AGC CCA G	559

# Figure 13 B

COD	ING	SEGM	ENT	E:												
					GCG Ala 5											47
					CTG Leu											95
					GAG Glu				Tyr							143
					GGC Gly											191
					CCG Pro											239
		CGG Arg		G												252
								Fi	gur	e 1:	3 C					
COD:	ING :	SEGMI	ent i	3:				Fi	gur	a 1:	3 C					
Leu CCT	Pro TGC	Pro CTC	Arg CCC	Leu GCT	Lys TGA     TGA	AAG 	AGA	Lys TGA	Ser AGA	Gln GTC	Glu AGG	AGT	CTG	TGG	CAG	48
Leu CCT     CCT Ser GTT	Pro TGC     TGC Lys CCA	Pro CTC     CTC Leu AAC	Arg CCC     CCC Q Val TAG	Leu GCT     GAT Leu TGC	TGA	AAG     AAG Cys GGT 	AGA     AGA Glu GCG	Lys TGA     TGA Thr AGA	Ser AGA     AAA Ser CCA	Gln GTC     GCC Ser GTT	Glu AGG     AGG Glu CTG	AGT     AAT Tyr AAT 	CTG     CGG A Ser ACT 	TGG   CTG Ser CCT 	CAG CAG Leu CTC	<b>4</b> 8 96
Leu CCT     CCT Ser GTT     GTT Lys TCA	Pro TGC     TGC Lys CCA     CCA	Pro CTC     CTC Leu AAC     AAC	Arg CCC     CCC Q Val TAG     TAG	Leu GCT GAT Leu TGC TCC	TGA     TGA  TGA  Arg  TTC	AAG     AAG Cys GGT     GGT Asn AGA	AGA     AGA Glu GCG     GTG Gly ATG	Lys TGA     TGA Thr AGA    AAA Ser GGA	Ser AGA     AAA Ser CCA       CCA Glu GTG	Gln GTC GCC Ser GTT HI Leu AAT	Glu AGG     AGG Glu CTG CTG Ser TAA	AGT   AAT Tyr AAT       AAT Arg GCC	CTG CGG A Ser ACT HI ACT Lys GAA	TGG   CTG   Ser   CCT       CCT   Asn AGA	CAG         CAG  Leu CTC       CTC Lys ACA	

### Figure 13 D

CODING SEGMENT A:	
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Glu Tyr Met Cys Lys Val Ile Ser Lys Leu Gly Asn Asp Ser Ala Ser GAA TAT ATG TGC AAA GTG ATC AGC AAA CTA GGA AAT GAC AGT GCC TCT	94
Ala Asn Ile Thr Ile Val Glu Ser Asn Ala GCC AAC ATC ACC ATT GTG GAG TCA AAC G                                      GCC AAT ATC ACC ATC GTG GAA TCA AAC G	122
Figure 13 E	
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TCA CTG GCT GAT TCT GGA GAA TAT ATG TGC AAA GTG ATC AGC AAA CTA Ser Leu Ala Asp Ser Gly Glu Tyr Met Cys Lys Val Ile Ser Lys Leu 10 25	158
GGA AAT GAC AGT GCC TCT GCC AAC ATC ACC ATT GTG GAG TCA AAC GGT Gly Asn Asp Ser Ala Ser Ala Asn Ile Thr Ile Val Glu Ser Asn Gly 30 35 40	206
AAG AGA TGC CTA CTG CGT GCT ATT TCT CAG TCT CTA AGA GGA GTG ATC Lys Arg Cys Leu Leu Arg Ala Ile Ser Gln Ser Leu Arg Gly Val Ile 45 50 55	254
AAG GTA TGT GGT CAC ACT TGAATCACGC AGGTGTGTGA AATCTCATTG Lys Val Cys Gly His Thr 60	302
TGAACAAATA AAAATCATGA AAGGAAAACT CTATGTTTGA AATATCTTAT GGGTCCTCCT	362

417

GTAAAGCTCT TCACTCCATA AGGTGAAATA GACCTGAAAT ATATATAGAT TATTT

128

### Figure 13 F

COD	ING	SEGM	ent (	<b>3</b> :												
AG 	ATC	ACC	ACT	GGC 	ATG	CCA	GCC []]	TCA	ACT	GAG 	ACA	Ala GCG    GCA	TAT	GTG	TCT	47
TCA	GAG	TCT	ccc	ATT	AGA	ATA	TCA	GTA	TCA	ACA	GAA 	Gly GGA     GGA	ACA	AAT	ACT	95
TCT	Ser TCA     TCA	T														102
								Fiç	Jure	13	G					
CODI	ING S	SEGME	INT C	::				Fiç	Jure	13	G					
T CC A	hr S CA 1	Ser I	hr S CA T	er T	.CA G	CT G      CT G	GG A	hr S CA A	er H GC C	is L AT C	eu V TT G	'al L TC A     TA A	ĀG T	GT G 	CA 	47

### Figure 13 H

									<b>,</b> \							
CODING	G S	EGMI	ent (	C/D:												
Lys Co AAG TO       AAG TO	GC 	CAA	CCT	GGA	TTC	ACT	GGA 	GCG	AGA	TGT	ACT	GAG	TAA	GTG	ccc	48
Met Ly ATG AZ       ATG AZ	AA   	GTC 	CAA 	ACC	CAA	GAA 										69
								Fi	gure	<b>1</b> 3	ßI					
CODING -																
Lys C) AAG TO        AAG TO	GC (	CCA	TAA 	GAG	TTT	ACT	GGT	GAT	CGC	TGC	CAA	AAC 	TAC	GTA 	ATG 	48
Ala Se GCC AC        GCC AC	GC :	TTC	TAC													60
								Fig	jure	13	J					
CODING	s SE	EGME	NT D	):												
Ser Th AGT AC        AGT AC	CG 1	rcc .	ACT 	ccc 	TTT 	CTG 	TCT	CTG	CCT	GAA 	TAG 					36
30D-11-								Fig	rure	13	ĸ					
CODING	- SE	staME)	NT D	•												

27

Lys His Leu Gly Ile Glu Phe Met Glu AAG CAT CTT GGG ATT GAA TTT ATG GAG

### Figure 13 L

COD	ING S	SEGM	ENT 1	H:												
а <u>а</u> а 	Ala GCG     GCG	GAG	GAG 	CTC	TAC	CAG 	AĀG	AGĀ	GTG 	CTC	ACC	ATT 	ACC	GGĈ	ATT 	48
TGC	Ile ATC     ATC	GCG 	CTG 	CTC	GTG	GTT 	GGC 	ATC	ATG 	TGT	GTG 	GTG 	GTC 	TAC	TGC	96
AAA 	Thr ACC     ACC	AAG	AAA 	CAA 	CGG	AAA 	AAG 	CTT 	CAT	GAC	CGG 	CTT	CGG	CAG	AGC	144
CTT	Arg CGG     CGG	TCT	GAA 	AGA	AAC 	ACC	ATG	ATG	AAC 	GTA 	GCC	AAC 	GGG 	CCC	CAC 	192
CAC	Pro CCC    CCT	AAT 	CCG	ccc	CCC	GAG	AAC 	GTG 	CAG	CTG	GTG	TAA 	CAA 	TAC	GTA 	240

288

# Figure 13 L'

AGC 	Ser TCT    TCC	TTT	TCC	ACC	AGT	CAC	TĀC	ACT	TCG	ACA	GCT 	CAT	CAT	TCC	ACT	336	5
ACT 	Val GTC     GTC	ACT 	CAG 	ACT 	ccc	AGT	CAC	AGC	TGG	AGC	AAT 	GGĀ	CAC	ACT	GAA 	384	
AGC	Ile ATC     ATC	ATT 	TCG	GAA 	AGC	CAC	TCT 	GTC 	ATC	GTG 	ATG	TCA	TCC	GTA	GAA 	432	
AAC 	Ser AGT     AGT	AGG	CAC	AGC	AGC	CCG	ACT	GGG 	GGC 	CCG	AGĀ	GGĀ	CGT	CTC	AAT 	480	
GGC 	Leu TTG ACA T	GGĀ	GGĈ	CCT	CGT	GAA 	TGT 	AAC 	AGC	TTC	CTC	AGG	CAT	GCC	AGĀ	528	
GAA 	Thr ACC     ACC	CCT	GAC 	TCC	TAC	CGA	GAC 	TCT	CCT	CAT	AGT 	GAA 	AG 			569	

### Figure 13 M

CODING	SEGMENT	Κ:
--------	---------	----

		rr Ar eu Il	 	 					46
	_	CAG Gln	 	 	 				94
		TGG Trp 35	 						141

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# Figure 13 N

### CODING SEGMENT L:

G I	Yr V AT G      AT G	TA T	'CA G 	CA A	TG A 	CC A	.cc c	CG G	CT C	GT A	TG I	CA C	CT G	TA G	AT 	46
TTC	His CAC     CAC	ACG	CCA	AGC	TCC	ccc	AAG	TCA	ccc	CCT	TCG	GAA	ATG	TCC	Pro CCG    CCA	94
ccc	Val GTG     GTG	TCC	AGC	ACG	ACG	GTC	TCC	ATG	CCC	TCC	ATG	GCG	GTC	AGT	CCC	142
TTC	Val GTG    ATG N	GAA 	GAG	GAG	AGA	ccc	CTG	CTC	CTT	GTG 	ACG	CCA	CCA	CGG	CTG	190
CGG	Glu GAG     GAG	AAG	• • •	TAT	GAC	CAC	CAC	GCC 	CAG	CAA	TTC	AAC	TCG	TTC	CAC	238
TGC	Asn AAC     AAC	CCC	GCG	CAT	GAG	AGC 	AAC	AGC 	CTG	CCC	CCC	AGC	CCC	TTG	AGG	286

# Figure 13 N'

ATA	Val GTG     GTG	GAG 	GAT 	GAG 	GAA 	TAT	GAA	ACG	ACC	CAG 	GAG	TAC	GAA 	CCA	GCT 	:	334
CAA	Glu GAG     GAG	CCG	GTT	AAG 	AAA 	CTC	ACC	AAC 	AGC	AGC	CGG	CGG	GCC 	AAA 	AGA 	:	382
ACC	Lys AAG     AAG	CCC	AAT 	GGT 	CAC	ATT	GCC	CAC	AGG	TTG	GAA 	ATG	GAC 	AAC	AAC 	4	430
ACA	Gly GGC    AGC S	GCT 	GAC	AGC	AGT	AAC 	TCA	GAG	AGC	GAA 	ACA	GAG 	GAT 	GAA 	AGA 	4	478

# Figure 13 N''

GTA	Gly GGA    GGT	GAA	GAT	ACG	CCT	TTC	CTG	GCC	ATA	CAG 	AAC 	ccc	CTG	GCA	GCC 	526
AGT 	Leu CTC    CTT	GAG	GCG	GCC 	CCT	GCC	TTC	CGC	CTG	GTC 	GAC	AGC	AGG	ACT	AAC 	574
CCA	Thr ACA    GCA A	GGC 	GGC 	TTC	TCT	CCG 	CAG	GAA 	GAA 	TTG	CAG	GCC	AGG	CTC	TCC	622
GGT 	Val GTA     GTA	ATC 	GCT 	AAC 	CAA 	GAC	CCT	ATC	GCT	GTC 	TAA 		1			672
	ATA     ATA		111		111	$\Pi\Pi$		111	111			$\Pi$	111		111	718
	TAA     TAA		$\Pi\Pi$													733

# Figure 13 0

HUMA	M	CC	DIN	3 SE	GMEN'	r E
ATG	ΑC	ξA	TGG	CGA	CGC	GC

					GCC Ala											48
					TCC Ser											96
					CTG Leu											144
					GCG Ala											192
					GGA Gly 70											240
					AAG Lys											288
					GCG Ala		Ala					Gly				336
					CCA Pro											384
					CTC Leu											432
ACC Thr 145	GCC Ala	CCG Pro	GTG Val	CCC Pro	AGC Ser 150	GCC Ala	GGC Gly	GAG Glu	CCC Pro	GGG Gly 155	GAG Glu	GAG Glu	GCG Ala	CCC Pro	TAT Tyr 160	480
					CAG Gln											528
	Asp	Ser	Leu	Leu	ACC Thr	Val	Arg	Leu	Gly	Thr	$\mathtt{Trp}$	Gly	His			576
TTC Phe	CCC Pro	TCC Ser 195	TGC Cys	GGG Gly	AGG Arg	CTC Leu	AAG Lys 200	GAG Glu	GAC Asp	AGC Ser	AGG Arg	TAC Tyr 205	ATC Ile	TTC Phe	TTC Phe	624
ATG Met	GAG Glu 210	CCC Pro	GAC Asp	GCC Ala	AAC Asn	AGC Ser 215	ACC Thr	AGC Ser	CGC <b>A</b> rg	GCG Ala	CCG Pro 220	GCC Ala	GCC Ala	TTC Phe	CGA Arg	672
					CTG Leu 230											720
					AAG Lys			G								745

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# Figure 14 A

CAGGGCAGGA GCGGAGCGGC 60
regeetetee etectegge 120
CGGCGGGAAC CGAGGACTCC 180
CCGGGACGGA GCGCCCGCCA 240
CCCGCCGGCG ACAGGAGACG 300
CCGCCTCCAC TCCGGGGACA 360
rgrcgcgcgt cgccttcgcc 420
G TCG GAG CGC AGA 475 Ser Glu Arg Arg L 5
GAC CGA GGC TCC GGG 523 Asp Arg Gly Ser Gly 20
CCA GCC TTG CCT CCC 571 Pro Ala Leu Pro Pro 35
GCA GGT TCC AAA CTA 619 Ala Gly Ser Lys Leu 50
TCT CTC AAG TTC AAG 667 Ser Leu Lys Phe Lys 65
AAC AAA CCA CAA AAC 715 Asn Lys Pro Gln Asn 85
CTT CGC ATT AGC AAA 763 Leu Arg Ile Ser Lys 100
AAA GTG ATC AGC AAA 811 Lys Val Ile Ser Lys 115
CCA GCC TTG CCT CCC Pro Ala Leu Pro Pro 35  GCA GGT TCC AAA CTA Ala Gly Ser Lys Leu 50  CCT CTC AAG TTC AAG GET Leu Lys Phe Lys 65  AAC AAA CCA CAA AAC Asn Lys Pro Gln Asn 85  CTT CGC ATT AGC AAA CEU Arg Ile Ser Lys AAA GTG ATC AGC AAA Cys Val Ile Ser Lys

# Figure 14 B

			GAC Asp													859
			ACT Thr													907
			CCC Pro													955
TCT Ser	TCA Ser	TCC Ser	ACA Thr	TCC Ser 170	ACA Thr	TCT Ser	ACA Thr	GCT Ala	GGG Gly 175	ACA Thr	AGC Ser	CAT His	CTT Leu	GTC Val 180	AAG Lys	1003
			AAG Lys 185													1051
			GAC Asp													1099
AAT Asn	GAG Glu 215	TTT Phe	ACT Thr	GGT Gly	GAT Asp	CGC Arg 220	TGC Cys	CAA Gln	AAC Asn	TAC Tyr	GTA Val 225	ATG Met	GCC Ala	AGC Ser	TTC Phe	1147
			TCC Ser				-					TAGG	GCGCA	\TG		1193
CTC	GTC	GT C	CCGC	TTTC	т то	TTGC	CGC	TCI	cccc	TCA	GATT	CAAC	CT A	GAGC	TAGAT	1253
GCG1	TTTA	CC F	AGGTO	TAAC	A TI	GACI	GCC1	CTC	CCTG	TCG	CATO	AGAA	CA I	TAAC	ACAAG	1313
CGAT	TGTA	TG A	ACTTO	CTCI	G TO	CGTG	ACTA	GTG	GGCI	CTG	AGCI	ACTO	GT A	GGTG	CGTAA	1373
GGCI	CCAG	TG T	TTCI	GAAA	T TO	ATCI	TGAA	TTA	CTGT	GAT	ACGA	CATG	AT A	GTCC	CTCTC	1433
ACCC	AGTO	CA A	ATGAC	AATA	A AG	GCCI	TGA	AAG	TCTC	ACT	TTTA	TTGA	GA A	AATA	AAAAT	1493
CGTI	CCAC	GG G	ACAG	TCCC	T CI	TCTI	TATA	AAA	TGAC	CCT	ATCC	TTGA	AA A	GGAG	GTGTG	1553
TTAA	GTTG	TA A	CCAG	TACA	C AC	TTGA	AATC	ATC	GTAA	GTT	CGCI	TCGG	TT C	AGAA	TGTGT	1613
TCTT	тстс	AC A	AATA	AACA	G AA	TAAA	AAAA	AAA	AAAA	AAA	A					1654

# Figure 15 A

CAT His 1	CAN Gln	GTG Val	TGG Trp	GCG Ala 5	GCG Ala	AAA Lys	GCC Ala	GGG Gly	GGC Gly 10	TTG Leu	AAG Lys	AAG Lys	GAC Asp	TCG Ser 15	CTG Leu	48
CTC Leu	ACC Thr	GTG Val	CGC Arg 20	CTG Leu	GGC Gly	GCC Ala	TGG Trp	GGC Gly 25	CAC His	CCC Pro	GCC Ala	TTC Phe	CCC Pro 30	TCC Ser	TGC Cys	96
						AGC Ser									GAG Glu	144
						CCC Pro 55										192
TCT Ser 65	CGA Arg	GAC Asp	GGG Gly	CCG Pro	GAA Glu 70	CCT Pro	CAA Gln	GAA Glu	GGA Gly	GGT Gly 75	CAG Gln	CCG Pro	GGT Gly	GCT Ala	GTG Val 80	240
CAA Gln	CGG Arg	TGC Cys	GCC Ala	TTG Leu 85	CCT Pro	CCC Pro	CGC Arg	TTG Leu	AAA Lys 90	GAG Glu	ATG Met	AAG Lys	AGT Ser	CAG Gln 95	GAG Glu	288
						CTA Leu										336
TAC Tyr	TCC Ser	TCT Ser 115	CTC Leu	AAG Lys	TTC Phe	AAG Lys	TGG Trp 120	TTC Phe	AAG Lys	AAT Asn	GGG Gly	AGT Ser 125	GAA Glu	TTA Leu	AGC Ser	384
Arg						AAC Asn 135										432
TCA Ser 145	GAA Glu	CTT Leu	CGC Arg	Ile	AGC Ser 150	AAA Lys	GCG Ala	TCA Ser	CTG Leu	GCT Ala 155	GAT Asp	TCT Ser	GGA Gly	Glu	TAT Tyr 160	480
ATG Met	TGC Cys	AAA Lys	Val	ATC Ile 165	AGC Ser	AAA Lys	CTA Leu	Gly	AAT Asn 170	GAC Asp	AGT Ser	GCC Ala	TCT Ser	GCC Ala 175	AAC Asn	528

# Figure 15 B

															ACA Thr	576
		. –													AAT Asn	624
		GAG Glu			-	-									TAC Tyr	672
		AAG Lys													AAT Asn 240	720
		ATG Met														768
		CGC Arg														816
		TTT Phe 275						TAGO	GCAT	CT C	CAGTO	GGTG	C CG	CTTI	CTTG	870
TTG	CCGCI	ATC 1	cccc	CTCAG	ET AS	CCNC	CTAC	AGC	TAGA	TGC	GTTI	TACC	AG G	TCTA	ACATT	930
GACT	rgcc1	CT G	CCT	TCGC	A TO	GAGA?	CATI	AAC	ACAA	GCG	ATTO	TATO	AC I	TCCI	CTGTC	990
CGT	ACTA	AGT G	GGCI	CTGA	G CI	ACTO	GTAG	GTG	CGTA	AGG	CTCC	AGTO	TT I	CTGA	AATTG	1050
ATCI	TGA	ATT A	CTGI	GATA	C GA	CATO	ATAG	TCC	CTCI	CAC	CCAG	TGCA	AT G	ACAA	TAAAG	1110
GCCI	TGAA	AA G	TCAA	AAAA	A AA	AAAA	AAAA	١								1140

# Figure 16 A

Ly	AG TO YS Se l	CA GA er G	AA CI lu Le	rr co eu Ai	GC AT rg II	TT AC Le Se	GC AA	AA GO ys A:	la Se	CA CT er Le LO	rg go eu Al	CT GA	AT TO sp Se	er G	GA GAA Ly Glu L5	4	19
TAT Tyr	ATG Met	TGC Cys	AAA Lys 20	GTG Val	ATC Ile	AGC Ser	AAA Lys	CTA Leu 25	GGA Gly	AAT Asn	GAC Asp	AGT Ser	GCC Ala 30	TCT Ser	GCC Ala	g	7
AAC Asn	ATC Ile	ACC Thr 35	ATT Ile	GTG Val	GAG Glu	TCA Ser	AAC Asn 40	GCC Ala	ACA Thr	TCC Ser	ACA Thr	TCT Ser 45	ACA Thr	GCT Ala	GGG Gly	14	15
ACA Thr	AGC Ser 50	CAT His	CTT Leu	GTC Val	AAG Lys	TGT Cys 55	GCA Ala	GAG Glu	AAG Lys	GAG Glu	AAA Lys 60	ACT Thr	TTC Phe	TGT Cys	GTG Val	19	3
AAT Asn 65	GGA Gly	GGC Gly	GAC Asp	TGC Cys	TTC Phe 70	ATG Met	GTG Val	AAA Lys	GAC Asp	CTT Leu 75	TCA Ser	AAT Asn	CCC Pro	TCA Ser	AGA Arg 80	24	11
TAC Tyr	TTG Leu	TGC Cys	AAG Lys	TGC Cys 85	CAA Gln	CCT Pro	GGA Gly	TTC Phe	ACT Thr 90	GGA Gly	GCG Ala	AGA Arg	TGT Cys	ACT Thr 95	GAG Glu	28	39
AAT Asn	GTG Val	CCC Pro	ATG Met 100	AAA Lys	GTC Val	CAA Gln	Thr	CAA Gln L05	GAA Glu	AAA Lys	GCG Ala	Glu	GAG Glu 110	CTC Leu	TAC Tyr	33	37
CAG Gln	AAG Lys	AGA Arg 115	GTG Val	CTC Leu	ACC Thr	ATT Ile	ACC Thr 120	GGC Gly	ATT Ile	TGC Cys	ATC Ile	GCG Ala 125	CTG Leu	CTC Leu	GTG Val	38	35
GTT Val	GGC Gly 130	ATC Ile	ATG Met	TGT Cys	GTG Val	GTG Val 135	GTC Val	TAC Tyr	TGC Cys	AAA Lys	ACC Thr 140	AAG Lys	AAA Lys	CAA Gln	CGG Arg	43	3
AAA Lys 145	AAG Lys	CTT Leu	CAT His	GAC Asp	CGG Arg 150	CTT Leu	CGG Arg	CAG Gln	AGC Ser	CTT Leu 155	CGG Arg	TCT Ser	GAA Glu	AGA Arg	AAC Asn 160	48	31
ACC Thr	ATG Met	ATG Met	AAC Asn	GTA Val 165	GCC Ala	AAC Asn	GGG Gly	CCC Pro	CAC His 170	CAC His	CCC Pro	AAT Asn	CCG Pro	CCC Pro 175	CCC Pro	52	9
GAG Glu	AAC Asn	GTG Val	CAG Gln 180	CTG Leu	GTG Val	AAT Asn	CAA Gln	TAC Tyr 185	GTA Val	TCT Ser	AAA Lys	AAT Asn	GTC Val 190	ATC Ile	TCT Ser	57	77

# Figure 16 B

		Ile						AGT Ser	625
	Thr							CCC Pro	673
CAC His									721
TCT Ser									769
ACT Thr									817
TGT Cys									865
GAC Asp 290									913
AAC Asn									961
CAT His									1009
CCT Pro									1057
ATG Met									1105
TCG Ser 370									1153

# Figure 16 C

			GTC Val													1201
															CAA Gln	1249
			TTC Phe 420													1297
			TTG Leu													1345
			CCA Pro													1393
			AAA Lys													1441
			AAC Asn													1489
			GAA Glu 500													1537
			GCA Ala													1585
			ACT Thr													1633
			CTC Leu													1681
TAAA	ACCG	AA A	TACA	CCCA	T AG	ATTC	ACCI	GTA	AAAC	TTT	ATTI	TATA	TA A	TAAAT.	GTATT	1741
CCAC	CTTA	L AA	TAAA	CAAA	A AA	A										1764

#### Figure 17 A

```
F-E-B-A'
  F-B-A'
F-B-A-C-C/D-D
                              F-E-B-A-C-C/D-D
F-B-A-C-C/D-H
                              F-E-B-A-C-C/D-H
F-B-A-C-C/D-H-L
                              F-E-B-A-C-C/D-H-L
F-B-A-C-C/D-H-K-L
                              F-E-B-A-C-C/D-H-K-L
F-B-A-C-C/D-D'-H
                              F-E-B-A-C-C/D-D'-H
F-B-A-C-C/D-D'-H-L
                              F-E-B-A-C-C/D-D'-H-L
F-B-A-C-C/D-D'-H-K-L
                              F-E-B-A-C-C/D-D'-H-K-L
                              F-E-B-A-C-C/D'-D
F-B-A-C-C/D'-D
F-B-A-C-C/D'-H
                              F-E-B-A-C-C/D'-H
F-B-A-C-C/D'-H-L
                              F-E-B-A-C-C/D'-H-L
                              F-E-B-A-C-C/D'-H-K-L
F-B-A-C-C/D'-H-K-L
F-B-A-C-C/D'-D'-H
                              F-E-B-A-C-C/D'-D'-H
                              F-E-B-A-C-C/D'-D'-H-L
F-B-A-C-C/D'-D'-H-L
F-B-A-C-C/D'-D'-'H-K-L
                              F-E-B-A-C-C/D'-D'-'H-K-L
                              F-E-B-A-C-C/D-C/D'-D
F-B-A-C-C/D-C/D'-D
F-B-A-C-C/D-C/D'-H
                              F-E-B-A-C-C/D-C/D'-H
F-B-A-C-C/D-C/D'-H-L
                              F-E-B-A-C-C/D-C/D'-H-L
                              F-E-B-A-C-C/D-C/D'-H-K-L
F-B-A-C-C/D-C/D'-H-K-L
F-B-A-C-C/D-C/D'-D'-H
                              F-E-B-A-C-C/D-C/D'-D'-H
                              F-E-B-A-C-C/D-C/D'-D'-H-L
F-B-A-C-C/D-C/D'-D'-H-L
F-B-A-C-C/D-C/D'-D'-H-K-L
                              F-E-B-A-C-C/D-C/D'-D'-H-K-L
                              F-E-B-A-G-C-C/D-D
F-B-A-G-C-C/D-D
                              F-E-B-A-G-C-C/D-H
F-B-A-G-C-C/D-H
F-B-A-G-C-C/D-H-L
                              F-E-B-A-G-C-C/D-H-L
                              F-E-B-A-G-C-C/D-H-K-L
F-B-A-G-C-C/D-H-K-L
                              F-E-B-A-G-C-C/D-D'-H
F-B-A-G-C-C/D-D'-H
F-B-A-G-C-C/D-D'-H-L
                              F-E-B-A-G-C-C/D-D'-H-L
F-B-A-G-C-C/D-D'-H-K-L
                              F-E-B-A-G-C-C/D-D'-H-K-L
F-B-A-G-C-C/D'-D
                              F-E-B-A-G-C-C/D'-D
                              F-E-B-A-G-C-C/D'-H
F-B-A-G-C-C/D'-H
F-B-A-G-C-C/D'-H-L
                              F-E-B-A-G-C-C/D'-H-L
                              F-E-B-A-G-C-C/D'-H-K-L
F-B-A-G-C-C/D'-H-K-L
F-B-A-G-C-C/D'-D'-H
                              F-E-B-A-G-C-C/D'-D'-H
F-B-A-G-C-C/D'-D'-H-L
                              F-E-B-A-G-C-C/D'-D'-H-L
F-B-A-G-C-C/D'-D'-'H-K-L
                              F-E-B-A-G-C-C/D'-D'-'H-K-L
                              F-E-B-A-G-C-C/D-C/D'-D
F-B-A-G-C-C/D-C/D'-D
F-B-A-G-C-C/D-C/D'-H
                              F-E-B-A-G-C-C/D-C/D'-H
F-B-A-G-C-C/D-C/D'-H-L
                              F-E-B-A-G-C-C/D-C/D'-H-L
                              F-E-B-A-G-C-C/D-C/D'-H-K-L
F-B-A-G-C-C/D-C/D'-H-K-L
                              F-E-B-A-G-C-C/D-C/D'-D'-H
F-B-A-G-C-C/D-C/D'-D'-H
                              F-E-B-A-G-C-C/D-C/D'-D'-H-L
F-B-A-G-C-C/D-C/D'-D'-H-L
                              F-E-B-A-G-C-C/D-C/D'-D'-H-K-L
F-B-A-G-C-C/D-C/D'-D'-H-K-L
```

#### Figure 17 B

#### E-B-A'

E-B-A-C-C/D-D E-B-A-C-C/D-H E-B-A-C-C/D-H-L E-B-A-C-C/D-H-K-L E-B-A-C-C/D-D'-H E-B-A-C-C/D-D'-H-L E-B-A-C-C/D-D'-H-K-L E-B-A-C-C/D'-D E-B-A-C-C/D'-H E-B-A-C-C/D'-H-L E-B-A-C-C/D'-H-K-L E-B-A-C-C/D'-D'-H E-B-A-C-C/D'-D'-H-L E-B-A-C-C/D'-D'-H-K-L E-B-A-C-C/D-C/D'-D E-B-A-C-C/D-C/D'-H E-B-A-C-C/D-C/D'-H-L E-B-A-C-C/D-C/D'-H-K-L E-B-A-C-C/D-C/D'-D'-H E-B-A-C-C/D-C/D'-D'-H-L E-B-A-C-C/D-C/D'-D'-H-K-L

E-B-A-G-C-C/D-D E-B-A-G-C-C/D-H E-B-A-G-C-C/D-H-L E-B-A-G-C-C/D-H-K-L E-B-A-G-C-C/D-D'-H E-B-A-G-C-C/D-D'-H-L E-B-A-G-C-C/D-D'-H-K-L E-B-A-G-C-C/D'-D E-B-A-G-C-C/D'-H E-B-A-G-C-C/D'-H-L E-B-A-G-C-C/D'-H-K-L E-B-A-G-C-C/D'-D'-H E-B-A-G-C-C/D'-D'-H-L E-B-A-G-C-C/D'-D'-H-K-L E-B-A-G-C-C/D-C/D'-D E-B-A-G-C-C/D-C/D'-H E-B-A-G-C-C/D-C/D'-H-L E-B-A-G-C-C/D-C/D'-H-K-L E-B-A-G-C-C/D-C/D'-D'-H E-B-A-G-C-C/D-C/D'-D'-H-L E-B-A-G-C-C/D-C/D'-D'-H-K-L

### Figure 18

	CAT His								48
	GGC Gly								96
	TGC Cys		 	 	 	 	 	 	144
	ATG Met 50								192
GAA Glu	TAG								198

### Figure 19

				AAG Lys					48
				GAC Asp 25					96
		 	 	ACT Thr					144
		 	 -	GAA Glu				TAA	192

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# Figure 20

CAT His								48
GGC Gly								96
TGC Cys								144
ATG Met 50						TAA		183

### Figure 21

AGC Ser 1	CAT His	CTT Leu	GTC Val	AAG Lys 5	TGT Cys	GCA Ala	GAG Glu	AAG Lys	GAG Glu 10	AAA Lys	ACT Thr	TTC Phe	TGT Cys	GTG Val 15	AAT Asn		48
	GGC Gly																96
	TGC Cys															1	.44
	ATG Met 50															1	92
	GAG Glu				TAA											2	10

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# Figure 22

CAT His									48
GGC Gly									96
TGC Cys									144
CCC Pro 50									192
GAT Asp									240
CCC Pro				TAG					267

# Figure 23

CAT His									4.8
GGC Gly			 	 	 	 	 	 	96
TGC Cys	-		 	 					144
CCC Pro 50									192
GAT Asp									240
CTC Leu		TAA							252

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# Figure 24 A

GGAATTCCTT	TTTTTTT	IT TITTTTTCT	T NNTTTTTT	IT TGCCCTTATA	A CCTCTTCGCC	60
TTTCTGTGGT	TCCATCCAC	CT TCTTCCCCC	T CCTCCTCC	CA TAAACAACTO	TCCTACCCCT	120
GCACCCCAA	TAAATAAAT	TA AAAGGAGGA	G GGCAAGGG	GG GAGGAGGAGG	AGTGGTGCTG	180
CGAGGGGAAG	GAAAAGGGA	AG GCAGCGCGA	G AAGAGCCG	GG CAGAGTCCGA	ACCGACAGCC	240
AGAAGCCCGC	ACGCACCTC			A CGC GCC CCG g Arg Ala Pro 5		291
TCC GGG CGT Ser Gly Arg 10	CCC GGC Pro Gly	CCC CGG GCC Pro Arg Ala 15	Gln Arg Pr	CC GGC TCC GC TO Gly Ser Al	C GCC CGC a Ala Arg 25	339
TCG TCG CCG Ser Ser Pro	CCG CTG Pro Leu	CCG CTG CTG Pro Leu Leu	Pro Leu Le	OG CTG CTG CT OU Leu Leu Le OU Cys Leu Le GGF	u Gly Thr	387
	30		35		40	
GCG GCC CTG Ala Ala Leu Ala Ala Leu	Ala Pro	GGG GCG GCG Gly Ala Ala	GCC GGC AAAAAA Gly As	C GAG GCG GC n Glu Ala Al	a Pro Ala	435
Gly Ala Ser	GTG TGC 'Val Cys'	Tyr Ser Ser	Pro Pro Se	C GTG GGA TCC r Val Gly Se: r Val Gly Se: GGF II 0	r Val Gln r Val Gln	483
60		65		70		
Glu Leu Ala Glu Leu Val	CAG CGC Gln Arg Gln Arg	GCC GCG GT Ala Ala Va Trp Phe Va	TG GTG ATC al Val Ile al Val Ile GGF II 04	GAG GGA AAG ( Glu Gly Lys \ Glu Gly Lys	GTG CAC CCG /al His Pro	531
75		80		85		

# Figure 24 B

CAG Gln 90	CGG Arg	CGG Arg	CAG Gln	CAG Gln	GGG Gly 95	GCA Ala	CTC Leu	GAC Asp	AGG Arg	AAG Lys 100	GCG Ala	GCG Ala	GCG Ala	GCG Ala	GCG Ala 105	579
											CCG Pro				GGC Gly	627
											CTG Leu				AAC Asn	675
											CCC Pro				GAG Glu	723
									Lys	Val Val	CAC His His	Gln Glu	Val Val	Trp Trp	Ala Ala	771
	155					160					165					
Val Ala	Lys				Leu			Asp	Ser	Leu Leu GGF	CTC Leu Leu II 1	Thr Xaa	Val		Leu Leu	819
170					175					180					185	
Gly		$\operatorname{Trp}$	Gly Gly	His	Pro Pro	Ala	Phe	Pro	Ser	Cys	GGG Gly Tyr					867
				190					195					200		
		Arg	Tyr	Ile	Phe	Phe	Met	Glu Glu	Pro	Asp Asp	GCC Ala Ala	Asn	Ser	Thr	Ser	915
			205					210	Gr. T	.1 02	1		215			

# Figure 24 C

											CTG Leu 230				963
											AAG Lys				1011
											TCG Ser				1059
	Leu	Val Val	Leu Leu II (	Arg Arg				Ser			TAC Tyr		Ser		1107
 			270		٠			175					180		
											CGA Arg				1155
											TCA Ser 210				1203
	Lys	Ala	Ser	Leu	Ala	Asp Asp	Ser	Gly Gly	Glu	Tyr	ATG Met Met	Cys	Lys		1251
215					220					225					
											ATC Ile				1299
											AGC Ser				1347

# Figure 24 D

															TGC Cys		1395	
															TGC Cys		1443	
Pro .															AGC Ser		1491	
TTC ' Phe ' 400																	1530	
TAGG	AGCA	TG C	TCAG	TTGG	T GO	TGCT	TTCT	TGT	TGCI	GCA	TCTC	CCCI	CA (	ATTO	CACCI	•	1590	
AGAG	CTAG	AT G	TGTC	CTTAC	C AG	SATCI	AATA	TTG	ACTO	CCT	CTGC	CTGI	rcg (	CATGA	GAACA		1650	
TTAA	CAAA	AG C	PTAA:	GTA1	T AC	TTCC	TCTG	TTC	GCGA	CTA	GTTG	GCTC	TG A	GATA	CTAAT	1	1710	
AGGT	GTGT	GA G	GCTC	CGGA	T GI	TTCI	'GGAA	TTC	CATAT	TGA	ATGA	TGTC	AT A	CAAA	TTGAT	•	1770	
AGTC	AATA	TC A	AGCA	GTGA	LA AI	ATGA	TAAT	' AAA	GGCA	TTT	CAAA	GTCT	CA C	TTTT	'ATTGA		1830	
TAAA	ATAA	AA A	TCAT	TCTA	C TG	AACA	GTCC	ATC	TTCT	TTA	TACA	ATGA	CC A	CATC	CTGAA		1890	
AAGG	GTGT	TG C	TAAG	CTGT	A AC	CGAT	ATGC	ACT	TGAA	ATG	ATGG	TAAC	TT A	LTTT	TGATT		1950	
CAGAZ	ATGT	GT T	TTTA	GTCA	CAA	АТАА	ACAT	' AAT	'AAAA'	GGA	AAAA	AAAA	AA A	AA			2003	

Figure 25

l Mrmrraprrsgrpgppraqrpgsaarsspp <u>lppllpplltgtaalapgaaag</u> neaapagas	II-8 II-4 VCYSSPPSVGSVQELAQRAAVVIEGKVHPQRRQQGALDRKAAAAAGEAGAWGGDREPPAA	GPRALGPPAEEPLLAANGTVPSWPTAPVPSAGEPGEEAPYLVKVHQVWAVKAGGLKKDSL II-3	LTVRLGTWGHPAFPSCGRLKEDSRYIFFMEPDANSTSRAPAAFRASFPPLETGRNLKKEV	SRVLCKRCALPPQLKEMKSQESAAGSK O OMSERKEGRGKGKKKERGSGKKPESAAGSQSP R	II-18 II-18 III-13 III-13 III-13 III-13 III-13 III-13 III-13 III-13 III-13 IIII-13 IIII-13 IIII-13 IIII-13 IIII-13 IIII-13 IIIIIIIIII	4 II-12 5 5 KVISKLGNDSASANITIVESNATSTS	FMVKDLSN	STPFLSLPE*
₩.	61	121	181	241 1	268 53	328 113 113	354 173 173	413 232 232
GGFHBS5				GGFHBS5 GGFHFB1 GGFBPP5				

### Figure 26

Met Arg Trp Arg Arg Ala Pro Arg Arg Ser Gly Arg Pro Gly Pro Arg Ala Gln Arg Pro Gly Ser Ala Ala Arg Ser Ser Pro Pro Leu Pro Leu Leu Pro Leu Leu Leu Leu Gly Thr Ala Ala Leu Ala Pro Gly Ala Ala Ala Gly Asn Glu Ala Ala Pro Ala Gly Ala Ser Val Cys Tyr Ser Ser Pro Pro Ser Val Gly Ser Val Gln Glu Leu Ala Gln Arg Ala Ala Val Val Ile Glu Gly Lys Val His Pro Gln Arg Arg Gln Gln Gly Ala Leu Asp Arg Lys Ala Ala Ala Ala Gly Glu Ala Gly Ala Trp Gly Gly Asp Arg Glu Pro Pro Ala Ala Gly Pro Arg Ala Leu Gly Pro Pro Ala Glu Glu Pro Leu Leu Ala Ala Asn Gly Thr Val Pro Ser Trp Pro Thr Ala Pro Val Pro Ser Ala Gly Glu Pro Gly Glu Glu Ala Pro Tyr Leu Val Lys Val His Gln Val Trp Ala Val Lys Ala Gly Gly Leu Lys Lys Asp Ser Leu Leu Thr Val Arg Leu Gly Thr Trp Gly His Pro Ala 185 Phe Pro Ser Cys Gly Arg Leu Lys Glu Asp Ser Arg Tyr Ile Phe Phe Met Glu Pro Asp Ala Asn Ser Thr Ser Arg Ala Pro Ala Ala Phe Arg Ala Ser Phe Pro Pro Leu Glu Thr Gly Arg Asn Leu Lys Lys Glu Val Ser Arg Val Leu Cys Lys Arg Cys Ala Leu Pro Pro Gln Leu Lys Glu Met Lys Ser Gln Glu Ser Ala Ala Gly Ser Lys Leu Val Leu Arg Cys Glu Thr Ser Ser Glu Tyr Ser Ser Leu Arg Phe Lys Trp Phe Lys Asn 280 Gly Asn Glu Leu Asn Arg Lys Asn Lys Pro Gln Asn Ile Lys Ile Gln Lys Lys Pro Gly Lys Ser Glu Leu Arg Ile Asn Lys Ala Ser Leu Ala Asp Ser Gly Glu Tyr Met Cys Lys Val Ile Ser Lys Leu Gly Asn Asp 330

### Figure 26 (cont.)

Ser Ala Ser Ala Asn Ile Thr Ile Val Glu Ser Asn Ala Thr Ser Thr 340 Ser Thr Thr 355 Glu Thr Ser His Leu Val Lys Cys Ala Glu Lys Glu Lys Thr Phe Cys Val Asn Gly Gly Glu Cys Phe Met Val Lys Asp Leu Ser Asn Pro Ser Arg Tyr Leu Cys Lys Cys Pro Asn Glu Phe Thr Gly Asp 385 Cys Gln Asn Tyr Val Met Ala Ser Phe Tyr Ser Thr Ser Thr Pro Phe Leu Ser Leu Pro Glu \*

# Figure 27

TCT	AA A	AC T. sn T	AC A yr A	GA G rg A	AC T	GT A ys I 5	TT T le P	TC A he M	TG A et I	le I	TC A le I 10	TA G le V	TT C	TG I	GA AAT aa Asn 15	Ile	53
CTT Leu	AAA Lys	CCG Pro	CTT Leu 20	TGG Trp	TCC Ser	TGA Xaa	TCT Ser	TGT Cys 25	AGG Arg	AAG Lys	TCA Ser	GAA Glu	CTT Leu 30	CGC Arg	ATT		101
															ATC Ile		149
															GAG Glu		197
															AGA Arg 80		245
															GAA Glu		293
ATC Ile	TCA Ser	TTG Cys	TGA Xaa 100	ACA Thr	AAT Asn	AAA Lys	AAT Asn	CAT His 105	GAA Glu	AGG Arg	AAA Lys	ACT Thr	CTA Leu 110	TGT Cys	TTG Leu		341
					CCT Pro										GAA Glu		389
Ile					ATA Ile				Т								417

# INTERNATIONAL SEARCH REPORT

International application No.
PCT/US95/14974

	ASSIFICATION OF SUBJECT MATTER										
IPC(6) US CL	:A61K 48/00, 38/18 :424/93.21; 514/12, 44										
	to International Patent Classification (IPC) or to both n	ational classification and IPC									
	LDS SEARCHED										
	documentation searched (classification system followed 424/93.21; 514/12, 44	by classification symbols)									
Documente	tion searched other than minimum documentation to the	extent that such documents are included	in the fields searched								
Documenta	tion searched order than minimum documentation to the t	tack that such decimens are blooded	. In the holds searched								
	data base consulted during the international search (name ee Extra Sheet.	ne of data base and, where practicable	, search terms used)								
C. DOC	CUMENTS CONSIDERED TO BE RELEVANT										
Category*	Citation of document, with indication, where appropriate approximation of the company of the com	ropriate, of the relevant passages	Relevant to claim No.								
X	FASEB Journal, Volume 8, Number 10 N	ntiation Factor (NDEF) and Differentiation in	36-37								
A	BioEssays, Volume 15, Number 12, issued December 1993, Peles et al, "Neu and its Ligands: From an Oncogene to Neural Factors", pages 815-824, see entire document.										
А, Р	US, A, 5,367,060 (VANDLEN ET A see column 37, line 54 to column 3	1	1-2, 7-32, 35- 37								
X Furthe	er documents are listed in the continuation of Box C.	See patent family annex.									
•	cial categories of cited documents: ""  ""  ument defining the general state of the art which is not considered	Inter document published after the inter date and not in conflict with the applica principle or theory underlying the inve	tion but cited to understand the								
to b	e of particular relevance	(* document of particular relevance; the	claimed invention cannot be								
cited	ument which may throw doubts on priority claim(s) or which is d to establish the publication date of another citation or other	when the document is taken alone  (* document of particular relevance; the									
	mi remon (m specifica)  ument referring to an oral disclosure, use, exhibition or other	considered to involve an inventive combined with one or more other such being obvious to a person skilled in th	step when the document is documents, such combination								
P" doca	nument published prior to the international filing date but later than griority date claimed	·	i i								
		ate of mailing of the international sea	rch report								
22 FEBRU	ARY 1996	14 MAR 1990									
Commission Box PCT	ailing address of the ISA/US er of Patents and Trademarks D.C. 20231	WAREN E. BROWN	Melling								
acsimile No		elephone No. (703) 308-0196	- CAN								
orm PCT/IS.	A/210 (second sheet)(July 1992)★ /	/	A								

# INTERNATIONAL SEARCH REPORT

International application No. PCT/US95/14974

C (Continu	ation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the releva	int passages	Relevant to claim No.
A	J. P. BROCKES et al, "The Neuron as a Source of Mit Influence on the Proliferation of Glial and Non-neural C from "Development in the Nervous System", published Garrod and Feldman, (CA), pages 309-327, see entire of	Cells," 1980,	1-2, 7-32, 35-37
A, P	US, A, 5,399,346 (ANDERSON ET AL) 21 March 199 entire document.	95, see	3-6, 33-34
<b>A</b>	Proc. Natl. Acad. Sci. USA, Volume 89, issued April 1 et al, "Expression of Human Factor IX in Mice after Inj Genetically Modified Myoblasts", pages 3357-3361, see document.	ection of	3-6, 33-34
A, P	SPECIAL NEWS REPORT, Volume 269, issued 25 Aug Marshall, "Gene Therapy's Growing Pains", pages 1050 entire document.	gust 1995, -1055, see	3-6, 33-34
A	US, A, 5,082,670 (GAGE ET AL) issued 21 January 19 entire document.	92, see	3-6, 33-34
		į	

### INTERNATIONAL SEARCH REPORT

International application No. PCT/US95/14974

#### B. FIELDS SEARCHED

Electronic data bases consulted (Name of data base and where practicable terms used):

APS/USPAT, MEDLINE, BIOSIS, WORLD PATENT INDEX

Search terms: neuregulin#, heregulin#, glial growth factor#, acetylcholine receptor inducing activity, ARIA, neu differentiation factor#

BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claim(s)1-2, 7-32, and 35-37, drawn to a method of affecting cellular communication in a vertebrate by administering a neuregulin protein.

Group II, claim(s) 3-6 and 33-34, drawn to a method of affecting cellular communication in a vertebrate by adminstering neuregulin-producing cells or neuregulin DNA (gene therapy).

The inventions listed as Groups I-II do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

The special technical feature of Group I is the administration of neuregulin protein to vertebrates. The special technical feature of Group II is the administration of neuregulin DNA either directly (in vivo gene therapy) or after introduction of DNA into a cell (ex vivo gene therapy). The method of Group II does not require the administration of neuregulin protein and the method of Group I does not require the administration of neuregulin DNA. Furthermore, the method steps for administration of protein and DNA are different. Therefore, both the reagents used and the process steps of Groups I and II are distinct from one another and the special technical features of each method distinguish the two groups from one another. Accordingly, the claims are not so linked by a special technical feature within the meaning of PCT Rule13.2 so as to form a single inventive concept.